POLICE AND CRIME COMMISSIONER FOR LINCOLNSHIRE
RISK REGISTER - EXECUTIVE SUMMARY

INHERENT EXPOSURE (Number of Risks)

CURRENT EXPOSURE (Number of Risks)

TARGET EXPOSURE (Number of Risks)

INHERENT EXPOSURE (Risk Distribution)

LIKELIHOOD				
4	A	A	R	R11
3	G	A	A1	R2
2	G	A	A	A
1	G	G	G	A
	1	2	3	4
	IMPACT			

CURRENT EXPOSURE (Risk Distribution)

LIKELIHOOD				
4	A	A	R	R5
3	G	A	A1	R2
2	G	A	A1	A4
1	G	G	G	A
	1	2	3	4
	IMPACT			

TARGET EXPOSURE (Risk Distribution)

LIKELIHOOD				
4	A	A	R	R
3	G	A	A	R
2	G	A	A6	A2
1	G	G	G2	A4
	1	2	3	4
	IMPACT			

ID	RISK DESCRIPTIONS	SPONSOR	Current Rating
1	Failure to Ensure that G4S objectives are delivered (with available resources)	PCC (CFO)	Amber
2	Lack of Capacity & Resilience in the Office of Police and Crime Commissioner	PCC (CE)	Amber
3	Failure to persuade Government of Lincolnshire's case for a fairer share of national funding, including lack of recognition of the rural costs in the formula; compounded by potential budget cuts presented by HM Treasury in next spending review period (2020– 2024)	PCC (CFO)	Red
4	Failure to achieve and demonstrate efficiencies, value for money (VfM) and optimum workforce productivity	PCC (CE)	Red
5	Financial loss (default on investments, bad debt, major incident)	PCC (CFO)	Amber
6	Ineffective relationships with Local Partners (Inc LCC, District Councils, Health services, Fire/ Ambulance)	PCC (CE)	Amber
7	Failure to deliver an efficient transition from the current G4S contract to effective alternative solutions in a timely manner.	PCC (CE)	Red

ID	RISK DESCRIPTIONS	SPONSOR	Current Rating
8	Failure to maintain or develop beneficial regional collaborations. (Following significant changes in regional leadership landscape - PCCs and Chief Officers)	PCC (CE)	Red
9	Failure of the Force to deliver operational effectiveness	PCC (CE)	Red
10	Failure to obtain satisfactory VfM from the disposal of 'West Parade' Police Station (Lincoln City)	PCC (CE)	Amber
11	Failure of the Force: to prepare appropriate strategic & operational delivery plans for all key organisational functions.	PCC (CE)	Red
12	Failure to: Maintain a strong working relationship with the Police and Crime Panel.	PCC (CE)	Red
13	Failure to Maintain appropriate access to vital business support functions following transition from the G4S Contract. (Eg ICT, Estates, Contract Management et al).	PCC (CE)	Red
14	Failure to adequately respond to the Home Office proposals regarding PCC's taking responsibility for Fire & Rescue Service Governance.	PCC (CE)	Amber

RISK REGISTER
POLICE & CRIME COMISSIONER FOR LINCOLNSHIRE

OBJECTIVES
A. INTERNAL
B. FORCE ORGANISATION/TRANSFORMATION
C. EXTERNAL DELIVERY
D. COLLABORATION

NOT PROTECTIVELY MARKED

ID	Unique Ref No.	Linked to Objective	Description of Risk: Source (Lack of… Failure to…)	Description of Risk: Consequences (Results in … Leads to …)	Risk Sponsor	Inherent Risk Score 1:Low 4:High: Likelihood	Inherent Risk Score 1:Low 4:High: Impact	Overall Inherent Risk Score	Existing Controls	Owner (the do-er)	Current Risk Score 1:Low 4:High: Likelihood	Current Risk Score 1:Low 4:High: Impact	Current Risk Score	New/Developing Controls (Inc any Dates)	Owner (responsible for controls)	Target Risk Score 1:Low 4:High: Likelihood	Target Risk Score 1:Low 4:High: Impact	Overall Target Risk Score	Review Date
1	C09	A B C	Failure to ensure that **G4S objectives** are delivered (with available resources).	• Policing and crime objectives not achieved • Public confidence in PCC, force and criminal justice partners is undermined. • Ineffective allocation of funding and issuing of crime and disorder reduction grants • poor use of resources • Negative media and reputational consequences regarding the public's trust and confidence in policing.	CFO	4	4	R 16	• Ongoing OPCC attendance at Performance and Delivery (P&D) Board - oversight of risks. • Governance framework, Commercial Partnership team (CPT) to monitor performance and delivery of contract • Risk management - CPT Risk register reviewed via P&D Board - escalation process in place if any serious risks are identified • Monthly Transactional Management board in place • Performance management of contract/SKPIs/KPIs/PIs/MIs • Internal Audit Programme • G4S Programme Director in place. • Leadership relationships	CPT & CFO & CE	2	4	A 8 ⇩	• Transition programme - supporting governance structure and leadership.	CE & CFO	2	3	A 6	OPCC Management Meeting
2	C06	A B C D	**Lack of Capacity & Resilience** in the Office of Police and Crime Commissioner	• Instability, lack of leadership in short term and need to invoke contingency plans (through Police and Crime Panel) • Inability to achieve the objectives of the Police and Crime Plan • Capacity and capability related performance issues. • Individual and team is vulnerable • Negative media coverage / reputational consequences (trust and confidence in PCC undermined)	PCC (CE)	3	4	R 12	• OPCC Policies and procedures Inc continuity plan. • Scheme of arrangements (CE) • Police and Crime Panel in place with procedures as required. • Guidance on the occurrence of a vacancy/absence, incapacity or suspension in OPCC • DCFO appointed April 2015 • Contract in place for Comms and Media support services to PCC - Dec 2016 onwards • 2 Interns recruited annually in June - start date Sept / Oct (recurring) - Extension of Internship tenure from 12 to 18 months including 6 months overlap between incoming and outgoing interns. (October 2021). • Collaborative work with Partners on Mental Health Strategy - (LPFT). • Full time CFO appointed to the force releasing some DCFO capacity for OPCC. • Use of external contractors eg creation of Victims Strategy. • EM Regional PCC's secretariat established – (Jan 2018) • Partnerships and Delivery Manager & Officer roles established - Jan & March 2018). • Communications manager (Victims services) – commenced October 2018. • Service Delivery Manager (Victims services) – commenced October 2018. • OPCC Admin Apprentice recruited on 18 - month cycle (Jan 2020) • Review of complaints (Appeals) outsourced to specialist agency (Dec 2019). • Safer together team recruited (Jan 2020)	CE	2	4	A 8 ⇔	• Partnership approach to help deliver a programme of work including HIN and Mental health strategy implementation - from April 2019.	CE	2	4	A 8	OPCC Management Meeting

									• Recruitment of Communications officer (August 2021) to replace communications intern position. • Recruitment of Diary Manager – to help support additional workload relating to PCC's National role as chair of APCC. (Feb 2022) • Appointment of deputy PCC (December 2021)										
3	CO1	A B C D	**Failure to persuade Government of Lincolnshire's case for a fairer share of national funding**, including lack of recognition of the rural costs in the formula; compounded by potential budget cuts presented by HM Treasury in next spending review period (2020– 2024)	• increased pressure on local council tax precept • And/or resulting in the PCC's inability to provide sufficient resources to deliver services.	PCC (CFO)	**4**	**4**	**R 16**	• Policing Minister multiple visits, • HO / Civil servant visits • Representation at HO events • Combined lobbying / engagement from National PCC portfolio representatives. • Response to consultations (government reviews of formula). • HMIC PEEL Efficiency reports • HO Special grant £1.8 M awarded (2019) • Covid expenditure reporting • Police officers – Govt uplift programme. • HMICFRS VFM Profiles – published annually	CC/ CFO CE	**4**	**4**	**R 16** ⟷	• OPCC / Force continue to lobby government / HO at all available opportunities.	CFO	**2**	**4**	A 8	OPCC Management Meeting
4	CO2	A B C D	Failure to achieve and demonstrate **efficiencies, value for money** (VfM) and optimum workforce **productivity**	leading to: • PCC's inability to provide sufficient resources to deliver policing services • Negative reputational consequences through media coverage. • deterioration in performance • poor use of resources • Effectiveness and efficiency of policing in Lincolnshire is reduced or not improved.	PCC (CE)	**4**	**4**	**R 16**	• Savings Tracker • VfM Strategy • HMIC - PEEL EFFICIENCY – recommendations tracker. • Internal audit plan in place • Robust procurement process / arrangements • VfM / Efficiency plan in place from Feb 2017 • External Audit includes VfM opinion. • Force Benefits realisation strategy from April 2017 • Force Change Board includes remit tor benefits realisation & management. • Force engaged with CIPFA benchmarking programme. • Introduction / development of Business Information tool (Feb 2021) • CIPFA action plan in place (May 2021)	CE & CFO	**4**	**4**	**R 16** ⟷	• Anticipated external auditor's opinion delayed (April 2020)	CFO & DCC	**2**	**4**	**A 8**	OPCC Management Meeting
5	CO33	A B C D	**Financial loss** (default on investments, bad debt,)	Leading to • Negative impact on service delivery • Reduced spend and inability to respond to operational demands • Negative reputational consequences	PCC (CFO)	**2**	**4**	**A 8**	• Reserves policy (reduced facility to use reserves to mitigate any risk of financial loss 2018/19) • Treasury Management strategy • Scheduled Internal Audit / review 'Cash, Banking and Treasury Management' • Financial governance reporting to JIAC inc TM • Treasury management plan - part of Financial Strategy.	CFO RPO	**1**	**4**	**A 4** ⟷		CC	**1**	**4**	**A 4**	OPCC Management Meeting
6	C039	B C D	**Ineffective relationships with Local Partners** *(Inc LCC, District Councils, Health services, Fire/ Ambulance)*	• Delayed or protracted decision making • Inability to progress community safety priorities • Disengagement and inability to deliver joint objectives and outcomes for the public • Trust and confidence is undermined - (internal & external) • Lack of strategic alignment • inability to effectively manage interdependencies • Sub-optimal use of resources	CE	**3**	**3**	**A 9**	• Existing Governance structure • Existing relationships. • Collaborative agreements in place (e.g. Blue light programme) • PCC Vice chair of SLP • Partnerships and Delivery Manager role in place from March 2018.). • Safer Lincolnshire Partnership (SLP) – (All CPGs attended). • Refreshed Victims Strategy in place 2020 • Community Safety, Policing and Criminal Justice Plan 2021-2025 • Police & Crime Plan delivery - Monitoring report to P& C Panel quarterly. • SLP reports to P&C Panel quarterly (partnership activity / status). • OPCC Chief Exec to chair LRSP from March 2019 • Shared setting of priorities for Assistant Director of Safer Communities (seconded officer role – Dec 2019)	PCC / CE	**2**	**3**	**A 6** ⟷	• SLP reviewing priorities & key partnership meetings structures (from April 2021)	PCC & CE	**1**	**3**	**G 3**	OPCC Management Meeting

									• Covid-19 response via LRF (March 2020 onwards).										
7	C040	B C D	**Failure to:** Deliver an efficient transition from the current G4S commercial contract to effective alternative solutions, in a timely manner.	• Deterioration of relationship with partners & key stakeholders • Inability to achieve operational & strategic objectives • ineffective and inefficient service delivery models • Trust and confidence is undermined - (internal & external) • Deterioration in strategic / governance relationship between OPCC / force / partners. Reputational damage • Lack of clarity around strategic Objectives • Negative financial impact (increased and consequential costs) • Sub-optimal use of resources	CE	**4**	**4**	**R 16**	• Existing Governance structure / contract processes / controls / performance measures & indicators. • Relationship with commercial partner • Existing contract • Transition programme & projects from (Dec 2020) inc ○ Appointment of Future services Delivery Programme director & Manager. ○ Chief Constable identified as SRO. ○ Horizons Uplift project included under FSP management	CE / CC	**3**	4	**R 12** ⟷	• Future Services programme (FSP) / alternative solutions in development (from Dec 2020 Delivery by April 2022)	CE CFO	**2**	**3**	**A 6**	OPCC Management Meeting
8	C041	A B C D	**Failure to:** **maintain or develop beneficial regional collaborations.** (Following significant changes in regional leadership landscape - PCCs and Chief Officers)	• Ineffective relationships with regional forces • Inability to maintain resilience of operational services including Strategic Policing Requirement (SPR) • Negative financial implications - reduced savings / increased costs. • Trust and confidence is undermined - (internal & external) • Diminished capability to meet operational demand. • Negative media coverage - reputational damage. • Lack of clarity around strategic Objectives • Lack of force vision • Sub-optimal use of resources	CC	**4**	**4**	**R 16**	• Regional governance structure. • Section 22 Agreements • Internal Audit reporting to include regional audits (reporting to JIAC from July 27, 2017 • Creation of Regional Secretariat (for PCCs) 3 roles including Regional Collaboration Manager, Analyst, and Meetings administrator – established January to March 2018. • New - replacement regional collaboration manager appointed May 2019. • Regional collaborative budgets agreed 2021/22. • Marc Jones is chair of regional collaboration board (CCs & PCCs) for 2021/22. • New Regional DCC appointed (May 2021)	PCC / CE	**4**	**4**	**R 16** ⟷	• Developing national context may overtake regional discussions – watching brief (from Dec 2019). • Regional Budget Agreed (Jan 2022)	CE PCC CFO	**2**	**3**	**A 6**	OPCC Management Meeting
9	C044	ABCD	**Failure of:** **The force to deliver operational effectiveness.**	• Rising trend of recorded crime in Lincolnshire. • Declining trend of "positive outcomes" • Declining trend in public satisfaction with the Force • Lack of confidence in Lincolnshire police • Reputational damage – linked to public perception of "high level of crime / poor outcomes / responsiveness of the force".	**CE**	**4**	**4**	**R 16**	• Governance Framework (Force and OPCC / Police and Crime Panel) • Force Performance management processes (tactical tasking) • Performance specific monitoring / analysis & reporting processes (Force / OPCC) • Current Police and Crime Plan • Partnership working • Regional Collaborative service delivery • Government uplift in police officer numbers – Lincs initial apportionment 50 officers (20K officers nationally). • Violence 'Gold Group' established by force Dec 2019 – OPCC to attend from Jan 2020. • New force strategies for Violence / roads policing / place-based approach (Feb 2020) • Revised strategic plan (from April 2021) • Revised force board structure and COT portfolio responsibilities (from April 2021) • BI Tool initial dashboards in operation (Oct 2021) • Holding to account – New Performance Review Meeting established (Feb 2022)	**CC/ PCC/ CE**	**3**	**4**	**R 12** ⟷	• Mapping of Police and crime plan objectives to force operational delivery plan - awaiting finalised force delivery plans. • New Business Information software tool under development – (from April 2021) • New Force performance framework and governance structure in development (Aug 2021). • Refreshed 'Holding to account' processes under development with OPCC – aligned to new Police and Crime Plan 2021-25 (from August 2021)	CC / PCC / CE	**2**	**3**	**A 6**	OPCC Management Meeting

									• Refreshed Public Assurance Meeting (PAM reporting)									
10	C045	ABCD	**Failure to:** Obtain satisfactory VfM from **disposal of 'West Parade'** Police Station (Lincoln City)	• Reputational Damage • Negative media coverage • Negative financial implications - Organisation left with "White elephant" • Stakeholder scrutiny / investigation • Community concerns	**CE**	**3**	**4**	**R 12**	• Governance structure • Decision making process • Specific Project group in place • Engagement with key stakeholders • Tendering process	**PCC/ CE**	**3**	**3**	**A 9 ⇧**	• Process commenced for the sale of West Parade (Oct 2021).	PCC	**2**	**3**	**A 6**	OPCC Management Meeting
11	C052	ABCD	**Failure of the Force:** to prepare appropriate strategic & operational delivery plans for all key organisational functions including ICT, Fleet and Estates.	• Lack of strategic focus / clear corporate priorities • Inability to accurately measure corporate progress • Sub optimal setting of priorities & delivery of objectives • Inefficient use of resources • Ineffective forward planning • Ineffective organisational development • Sub optimal delivery of service	**CE**	**4**	**4**	**R 16**	• Existing governance structures • FMS (and development process) • Budget setting process • Financial Plan & controls • MTFP • Decision making processes • Revised COT and portfolios (April 2021) • Appointment of new Chief Constable (Dec 2021 Chris Haward) • New corporate planning process / cycle - (Jan 2021 ongoing). • New Force strategy & priorities (from April 2021 onwards).		**4**	**4**	**R 16 ⇩**	• Delivery plans partially completed – November 2021. • Priority based budgeting project underway (Jan 2022)		**1**	**4**	**A 4**	OPCC management Meeting
12	C054	ABCD	Failure to: Maintain a strong working relationship with the Police and Crime Panel.	• Reputational damage • Sub optimal communications and public engagement • Delayed or protracted decision making • Inefficient use of resources • Reduced effectiveness • Breach of statutory responsibilities • Negative media coverage • Trust and confidence is undermined - (internal & external)	**CE**	**4**	**4**	**R 16**	• Existing Governance structure • Existing relationships • Existing MOU and agreed protocols • Statutory responsibilities • Existing reporting processes	**CE**	**4**	**4**	**R 16**	• OPCC considering re-drafting of MOU with P&CP (May 2021). • Ongoing Discussions regarding PCC reporting protocols (June 2021) • 'Discussions on-going between the OPCC and P&CP to resolve issues relating to transparency of decision-making and expectations around information sharing (October 2021)'.		**1**	**4**	**A 4**	
13	C055	ABCD	Failure to: Maintain appropriate access to vital business support functions following transition from the G4S Contract. (Eg ICT, Estates, Contract Management et al).	• Inefficient use of resources • Delayed or protracted decision making • Reduced effectiveness • Reputational damage • Sub optimal delivery of service • Sub optimal delivery of objectives (Inc associated financial implications) • Ineffective forward planning • Ineffective organisational development	**CE**	**4**	**4**	**R 16**	• Future Services Programme (FSP) • FSP governance structure • OPCC workstream within FSP • OPCC 'ownership' of current contract with G4S • OPCC SMT oversight of transition process.		**3**	**4**	**R 12**	• OPCC investigating how best to interface with support services (June 2021) • Working towards integration of business planning processes (Sept 2021).		**1**	**4**	**A 4**	
14	C056	ABCD	Failure to: Adequately respond to the Home Office proposals regarding PCC's taking responsibility for Fire & Rescue Service Governance.	• Inefficient use of resources • Delayed or protracted decision making • Reduced effectiveness • Reputational damage • Sub optimal delivery of service • Sub optimal communications and public engagement • Sub optimal delivery of objectives (Inc associated financial implications) • Ineffective forward planning • Ineffective organisational development	**CE**	**4**	**3**	**R 12**	• Governance structures • Monitoring of legislative developments • Ministerial communications • Horizon scanning • Membership of national representative bodies		**2**	**3**	**A 6**	• Completion & Publication of Home office review of PCC's Role (Part two)– watching brief (From May 2021). • Consideration of implications of proposals – 'liabilities transfer' (June 2021)		**1**	**3**	**G 3**	

SCORING MATRIX & DIRECTION OF TRAVEL

		Impact			
Likelihood		**Low 1**	**Medium 2**	**High 3**	**Very High 4**
Very High	**4**	**AMBER 4**	**AMBER 8**	**RED 12**	**RED 16**
High	**3**	**GREEN 3**	**AMBER 6**	**AMBER 9**	**RED 12**
Medium	**2**	**GREEN 2**	**AMBER 4**	**AMBER 6**	**AMBER 8**
Low	**1**	**GREEN 1**	**GREEN 2**	**GREEN 3**	**AMBER 4**

Risk Appetite is defined by thick black line between Amber and Red risk scores

LIKELIHOOD

	Score	Description
Very High	**4**	More than a 75% chance of occurrence.
High	**3**	More than a 50% chance of occurrence.
Medium	**2**	More than a 25% chance of occurrence.
Low	**1**	Less than a 25% chance of occurrence.

IMPACT

	Score	Performance/ Service Delivery	Finance /Efficiency £	Confidence/ Reputation	Health & Safety	Environment	Strategic Direction
Very High	4	Major disruption to service delivery Major impact on performance indicators noticeable by stakeholders	Overall >1,000,000 Business Area >150,000	Major stakeholder/investigations /longer lasting community concerns Major reputational damage adverse national media coverage	Death or a life changing injury	Very high negative environmental impact (high amount of natural resources used, pollution produced, biodiversity affected)	Major impact on the ability to fulfil strategic objective
High	3	Serious disruption to service delivery Serious impact on performance indicators noticeable by stakeholders	Overall 251,000-1,000,000 Business Area 41,000-150,000	Serious stakeholder/investigations /prolonged specific section of community concerns Serious reputational damage adverse national media coverage	An injury requiring over 24-hours hospitalisation and /or more than 3 days off work or a major injury as defined by the RIDDOR[10] Regs	High negative environmental impact (medium amount of natural resources used, pollution produced, biodiversity affected)	Serious impact on the ability to fulfil strategic objective
Medium	2	Significant disruption to service delivery Noticeable impact on performance indictors	Overall 51,000-250,000 Business Area 11,000-40,000	Significant investigations/specific section of community concerns Significant reputational damage adverse local media coverage	An injury requiring hospital/professional medical attention and/or between one day and three days off work with full recovery	Medium negative environmental impact (low amount of natural resources used, pollution produced, biodiversity affected)	Significant impact on the ability to fulfil strategic objective
Low	1	Minor disruption to service delivery Minor impact on performance indictors	Overall <50,000 Business Area <10,000	Complaints from individuals Minor impact on a specific section of the community	An injury involving no treatment or minor first aid with no time off work	Low negative environmental impact (limited amount of natural resources used, pollution produced, biodiversity affected)	Minor impact on the ability to fulfil strategic objective

Direction of Travel

(Overall judgement based on the controls in place/planned)

⇩ Risk reducing ⇔ No change/stable ⇧ Risk increasing

POLICE AND CRIME COMMISSIONER FOR LINCOLNSHIRE

RISK REGISTER: CHANGE LOG (Only Displays changes made since 04/05/2020)

No	Date	Author	Risk URN	Item	Changes	Comments
7.45	4 May 2020	RPO	C052	• Force: failure to develop strategic / operational plans.	**ADD NEW RISK –** Failure of the Force to prepare appropriate strategic & operational delivery plans for all key organisational functions.	Following IMM on 22 April 2020
7.45	4 May 2020	RPO	C038	• OPCC lack of service commissioning capacity at peak times	Amend risk score from amber 6 to green 3 – achieved target score and has remained stable - no further controls currently planned for introduction.	Following IMM on 22 April 2020
7.45	4 May 2020	RPO	C036	• Delivery of blue light objectives	Add planned steering group 'workshop' to developing controls.	Following IMM on 22 April 2020
7.45	4 May 2020	RPO	C06	• Resilience in OPCC	• Developing control moved into existing controls – 'Complaints review process outsourced to specialist external agency'.	Following IMM on 22 April 2020
7.45	4 May 2020	RPO	C049	• OPCC planning for PCC elections	• Revise wording of risk as date for elections changed to May 2021 • Update existing controls and developing controls according to change of date for elections and current circumstances. • Add to developing controls: Electoral Commission – consultation of PCCs on inclusion into the 'candidate code' (expenses).	Following IMM on 22 April 2020
7.46	19 May 2020	RPO	C052	• Force: failure to develop strategic / operational plans.	• Add MTFP to existing controls. • Amend scoring of risk from amber 8 to red 12 • Reword risk to include specific plans	Following IMM 19 May 2020
7.46	11 June 2020	RPO	NA	• NA	• Content reviewed at IMM and No changes required	Following IMM 11 June 2020
7.47	October 8th	RPO	C037	• Chief officer team	• Add recruitment process into dev controls	Routine update
7.48	Nov 3rd 2020	RPO	C037	• Chief officer team	• Increase score from amber 2 x 4 - 8 to Red 3 x 4 = 12	Following IMM October 2020
7.48	Nov 3rd 2020	RPO	C02	• VFM	• CIPFA action plan – in developing controls	Following IMM October 2020
7.48	Nov 3rd 2020	RPO	C06	• OPCC resilience	• Add developing control re extra 'complaint review' case officer sought from Supplier.	Following IMM October 2020
7.49	Nov 4th 2020	RPO	C036	• Blue light prog	• Update developing controls to note delay in scheduling meeting to review further collaboration opportunities – due to impact of covid-19.	Following IMM Nov 4th 2020
7.49	Nov 4th 2020	RPO	C038	• OPCC commissioning capacity	• **Remove risk** – stable / remained at target score & clear plan for meeting any future peaks in demand.	Following IMM Nov 4th 2020

POLICE AND CRIME COMMISSIONER FOR LINCOLNSHIRE

RISK REGISTER: CHANGE LOG (Only Displays changes made since 04/05/2020)

No	Date	Author	Risk URN	Item	Changes	Comments
7.49	Nov 4th 2020	RPO	C052	• Force plans	• Raise score from red 12(3x4) to red 16 (4x4)	Following IMM Nov 4th 2020
7.49	Nov 4th 2020	RPO	C045	• VFM – West Parade	• Update developing controls – remove old meetings	Following IMM Nov 4th 2020
7.49	Nov 4th 2020	RPO	C040	• Commercial contract renewal	• Update developing controls with revised date for decision on contract.	Following IMM Nov 4th 2020
7.49	Nov 4th 2020	RPO	N/A	• All	• Update executive summary – risk distribution and number of risks (exposure) • Update All risk ID numbers following removal of risk C038 – total number of risks now 15 (previously 16).	Routine update – 6th Nov 2020
7.50	Dec 22nd 2020	RPO	C09	• G4S objectives	• Raise score from 2x4 = amber 8 to 3x4 = Red 12	Following December 22 IMM 2020
7.51	Jan 7th 2021	RPO	C040	• Transition from G4S contract	• Update wording of risk to reflect termination of commercial contract with G4S.	Following December 22 IMM 2020
7.51	Jan 7th 2021	RPO	C053	• Chief Constable relationship	• **NEW RISK – failure to Develop a resilient and effective working relationship with the newly appointed chief constable *(December 2020)***	Following December 22 IMM 2020
7.51	Jan 14th 2021	RPO	All	• Existing controls	• Refresh all existing controls to remove out of date references	Routine update Jan 2021
7.51	Jan 14th 2021	RPO	C050	• Prepare for end of EU transition	• **Remove risk** – transition period has ended – Issues now business as usual. • update the exec summary to reflect current number of risks and distribution of risk..	Following Dec IMM 2021
7.52	24th February 2021	RPO	C06	• OPCC capacity & resilience	• Refresh controls – to reflect case officer now in post for complaints "review" service.	Following February IMM 2021
7.52	5th March 2021	RPO	C039	• Local partnership relations	• Update developing controls.	Routine update March 2021
7.52	22nd March 2021	RPO	C040	• G4S transition programme	• Refresh controls - to reflect confirmed management structure and governance of 'Future services' programme.	Routine update March 2021
7.52	30th March 2021	RPO	C041	• Regional collaboration	• Refresh controls - to include MJ as regional Chair of collaboration board.	Routine update March 2021
7.53	14th April 2021	RPO	C044	• Force's Operational effectiveness	• Refresh controls to include changes in COT and their portfolios / strategy and priorities. (link to C037)	Routine update April 2021
7.53	15th April 2021	RPO	C037	• Chief officer team resilience / stability	• Refresh controls to reflect changes in COT and their portfolios (new ACC and departure of ACO).	Routine update April 2021
7.54	21 May 2021	RPO	C06	• OPCC resilience	• Refresh existing controls to include comms intern post and amend partnership approach entry in developing controls.	Routine update May 2021

POLICE AND CRIME COMMISSIONER FOR LINCOLNSHIRE

RISK REGISTER: CHANGE LOG (Only Displays changes made since 04/05/2020)

No	Date	Author	Risk URN	Item	Changes	Comments
7.54	21 May 2021	RPO	C02	• efficiency	• Add Business information tool to existing controls	Routine update May 2021
7.54	21 May 2021	RPO	C041	• Regional relationships	• Add Marc's role of chair of regional CC&PCC board to existing controls.	Routine update May 2021
7.54	21 May 2021	RPO	C049	• PCC Election	• **REMOVE RISK – (PCC election preparation) –** election successfully completed	Routine update May 2021
7.54	21 May 2021	RPO	N/A	• Dashboard	• Update dashboard and risk distribution graphics	Routine update May 2021
7.54	11 June 2021	RPO	C054	• Relationship with Police & Crime Panel	• **ADD NEW RISK** - failure to maintain a strong working relationship with the Police & Crime Panel.	Following IMM May 2021
7.54	11 June 2021	RPO	C055	• OPCC Access to business support functions	• **ADD NEW RISK** - Failure to Maintain appropriate access to vital business support functions following transition from the G4S Contract. (Eg ICT, Estates, Contract Management et al).	Following IMM May 2021
7.54	11 June 2021	RPO	C056	• HO proposals for Fire Governance	• **ADD NEW RISK -** Failure to: Adequately respond to the Home Office proposals regarding PCC's taking responsibility for Fire & Rescue Service Governance.	Following IMM May 2021
7.54	14 June 2021	RPO	C02	• VFM	• Update existing controls with CIPFA action plan in place	Following IMM May 2021
7.54	14 June 2021	RPO	C036	• Blue light objectives	• Update developing controls and include re-commencing of steering group to review opportunities for collaboration.	Following IMM May 2021
7.55	14 June 2021	RPO	C037	• Chief officer team	• Update controls to reflect changes in COT in May 2021	Following IMM June 2021
7.55	14 June 2021	RPO	C041	• Regional Collaboration	• Update controls to reflect appointment of new regional DCC. • PCC is now chair of regional board	Following IMM June 2021
7.55	14 June 2021	RPO	C045	• West Parade (disposal / use)	• Update controls to reflect "town Deal" business case creation.	Following IMM June 2021
7.55	14 June 2021	RPO	C053	• Relationship with Chief Constable	• Reduce current score, from 3 x4 = Red 12, to 2x4 = 8 Amber. • Change risk wording to 'maintain' from 'develop'.	Following IMM June 2021
7.55	14 June 2021	RPO	C06	• OPCC capacity	• Extension of 'policing Intern' contracts to 18 months with a 6 month overlap between incoming and outgoing interns.	Following IMM June 2021
7.55	14 June 2021	RPO	NA	• Exec Summary	• Update dashboard and risk distribution graphics.	Following IMM June 2021
7.56	15 July 2021	RPO	C045	• disposal of West Parade	• Increased likelihood risk score from 2x3 to 3x3 = Amber 9	Following IMM July 2021
7.56	15 July 2021	RPO	NA	• Exec Summary	• Update dashboard and risk distribution graphics.	Following IMM July 2021
7.57	27 Aug 2021	RPO	C06	• OPCC Resilience	• Recruitment of OPCC Communications officer	Routine update 2021

POLICE AND CRIME COMMISSIONER FOR LINCOLNSHIRE

RISK REGISTER: CHANGE LOG (Only Displays changes made since 04/05/2020)

No	Date	Author	Risk URN	Item	Changes	Comments
7.57	27 Aug 2021	RPO	C040	• FS Programme	• Note movement of Horizons uplift project into FSP programme management.	Routine update 2021
7.57	27 Aug 2021	RPO	C044	• Force effectiveness	• Note development of refreshed 'holding to account' processes – aligned with new PC Plan 2021-25.	Routine update 2021
7.58	12th Oct 2021	RPO	C06	• OPCC Capacity	• Note change in internship tenure, moved to existing controls from developing controls.	Routine update 2021
7.58	12th Oct 2021	RPO	C044	• Force operational effectiveness	• Remove reference to 'New' chief constable from developing controls.	Routine update 2021
7.58	12th Oct 2021	RPO	C045	• Disposal of West Parade	• Add new developing control regarding disposal of west parade. • Add 'tendering process' to existing controls	Routine update 2021
7.58	14th Oct 2021	RPO	C037	• Resilience & instability of chief officer team	• Reduce score from 3x4 Red 12 down to 2x4 Amber 8 = at Target score	Following October IMM
7.58	14th Oct 2021	RPO	C053	• Relationship with Chief Constable	• Reduce score from 2x4 Amber 8 - to 1x4 = Amber 4 = at Target score.	Following October IMM
7.58	14th Oct 2021	RPO	NA	• Exec summary	• Adjust Exec summary to match current risk distribution & scores.	Following October IMM
7.59	29th Nov 2021	RPO	C053	• OPCC / new Chief relationship	• **REMOVE RISK** – Risk remained stable at target score	Following November IMM
7.59	29th Nov 2021	RPO	C037	• Resilience and instability within COT	• **REMOVE RISK** – Risk remained stable at target score	Following November IMM
7.59	29th Nov 2021	RPO	C052	• Force delivery plans	• Add to developing controls – partial completion of plans at Nov 2021 (risk score remains unchanged)	Following November IMM
7.59	29th Nov 2021	RPO	C037	• OPCC Resilience	• Update controls – appointment of comms officer and recruitment of Diary manager to provide additional support to PCC as part of national APCC role (2 years)	Following November IMM
7.60	2nd December 2021	RPO	C09	• G4S objectives achieved	• Reduce risk score from red12 3x4 to Amber 8 2x4 – reduced period to end of contract.	Following 30th November IMM
7.60	2nd December 2021	RPO	C06	• OPCC capacity / resilience	• Increase risk score from amber 8 2x4 to Red 12 3x4 – increasing scope of work and workloads.	Following 30th November IMM
7.60	2nd December 2021	RPO	C036	• Achieving Blue light objectives	• Reduce risk score from 2x4 = Amber 8 to 1x4 Amber – reached target score. • PCC - Ongoing talks with partners re potential collaborations.	Following 30th November IMM

POLICE AND CRIME COMMISSIONER FOR LINCOLNSHIRE

RISK REGISTER: CHANGE LOG (Only Displays changes made since 04/05/2020)

No	Date	Author	Risk URN	Item	Changes	Comments
7.60	2nd December 2021	RPO	C037	• OPCC resilience	• Add developing control – regarding appointment of deputy PCC in December 2021.	Following 30th November IMM
7.60	3rd December 2021	RPO	NA	• Exec summary	• Update risk distribution and scores in exec summary section.	Routine update.
7.61	21st Jan 2022	RPO	C041	• Regional collaboration	• Update developing controls – regional budget	Routine update.
7.62	7th Feb 2022	RPO	C036	• Achieving Blue light objectives	• **REMOVE RISK** – risk remains stable at target score – main objectives achieved	Following 26th Jan 2022 IMM
7.62	7th Feb 2022	RPO	C06	• Resilience in OPCC	• Reduce current risk score to 2x4 (from 3x4) – now at target score	Following Jan 2022 IMM
7.62	8th Feb 2022	RPO	C052	• Force Plans	• Update developing & existing controls	Routine update.
7.62	8th Feb 2022	RPO	NA	• Exec summary	• Update risk distribution and scores in exec summary section.	Routine update.