Agenda Item No

17

JOINT INDEPENDENT AUDIT COMMITTEE
2nd March 2022

SUBJECT	**PCC RISK REGISTER**
REPORT BY	**CHIEF EXECUTIVE & CHIEF FINANCE OFFICER**
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SUMMARY AND PURPOSE OF REPORT To review the PCC's Risk Register.	
RECOMMENDATION	**That the Committee reviews the PCC's Risk Register.**

A. SUPPORTING INFORMATION

1. The top strategic risks that would prevent or distract the Commissioner from achieving his goals are recorded in the PCC's Risk Register. The risks have been identified based on the PCC's objectives that are stated in his Community Safety, Policing and Criminal Justice Plan 2021 – 2025.

2. The PCC's Chief Finance Officer (CFO) and Research and Performance Officer (RPO) attend the Force's Confidential Risk Management Board and Force Risk Management Board respectively. This ensures that the Force's register and the PCC's register are aligned where appropriate. In addition, the Force Risk Policy & Review Officer and the Research and Performance Officer meet on a regular basis to discuss issues arising from both registers (and the joint Assurance Map).

3. The PCC's risk register is reviewed every other month (alternating with the Assurance Map) as part of the standing agenda for the OPCC Management Meeting. The current risk register (V7.62) records 14 risks in total, with 8 currently rated as critical, scoring RED 12 and above and 6 as non-critical, scoring AMBER 9 and below.

4. Since the previous review by the JIAC in November 2021 the PCC's risk register has had three risks removed. All had seen their scores reduced to their target and they had remained stable. The risks removed are:

   4.1. *"Lack of Resilience & Instability in Force Chief Officer team (Recent significant changes in Chief Officer team)"*
   4.2. *"Failure to deliver objectives of Tri-service "Blue Light Programme".*
   4.3. "*Failure to: Maintain a resilient and effective working relationship with the newly appointed Chief constable".*

5. Existing and developing controls have been refreshed across the register to better reflect the current situation and the scores for 3 risks have been amended, these are all recorded in the change log from the 14th October entry onwards (Page 10 of 11). Notable updates amongst these include:

5.1. C06 – *"Lack of Capacity & Resilience in the Office of Police and Crime Commissioner"* - Reduced current risk score from 3x4 Red 12 to 2x4 Amber 8, now at target score.

5.2. C09 – *"Failure to ensure that G4S objectives are delivered (with available resources)."* - Reduced risk score from Red12 3x4 to Amber 8 2x4 – short period remaining to the end of the G4S contract in April 2022.

6. The PCC's risk register V7.62 February 2022 is attached at **Appendix A.**

B. FINANCIAL CONSIDERATIONS

There are resource implications related to some of the risk control measures that arise from the implementation of these activities. These are managed by the appropriate Chief Officers in each organisation.

C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS

Any relevant legal and human rights related risks are included in the PCC's risk register.

D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC's and Force's risk registers.

E. REVIEW ARRANGEMENTS

The Risk Management Strategies for both the PCC and the Force will be reviewed by JIAC on an annual basis.

F. RISK MANAGEMENT

Risk governance is an integral part of the Joint Independent Audit Committee's remit. It is reflected on the Forward Plan accordingly.

G. PUBLIC ACCESS TO INFORMATION

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.