JOINT INDEPENDENT AUDIT COMMITTEE

SUMMARY ACTION LOG – 3 MARCH 2022

Ref	Meeting Date	Minute No	Resolution	Actioned by	Progress	Updated
364	28/04/2021	16/21	That the Committee's assurance in relation to the DAMS procurement exercise be contingent on the level of assurance derived by the External Auditor from information supplied by the Force.	DCFO	EY have not yet been able to confirm their assurance, therefore the Committee cannot derive any assurance. Work continues to bring the matter to a close. No further update.	01/11/2021 23/11/2021
366	11/11/2021	26/21	That a draft of the updated Financial, Contract and Procurement Regulations be presented to the March 2022 meeting of the Committee.	CFO	A draft of the updated Financial, Contract and Procurement Regulations will be presented to the April 2022 meeting of the Committee.	24/02/2020
367	11/11/2021	31/21	That a brief explanation be provided for the benefit of the public when documents such as the EPA Contracts Awarded report are redacted prior to publication.	FCFO	Oral update to be provided.	24/02/2022
368	11/11/2021	31/21	That the column marked 'Achieved' be completed for Ref 1 'Medium Term Financial Plan' in Appendix F (page 34).	FCFO	Oral update to be provided	24/02/2022

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369	11/11/2021	31/21	That the wording of the column marked 'Comments' in relation to Ref 3 'Financial Processes' in Appendix F (page 37 – 3rd bullet point) be amended to read *'In many cases this involved utilising shared drives and emails whilst ensuring internal controls continue to operate'*.	FCFO	Oral update to be provided	24/02/2022
370	11/11/2021	31/21	That's the entry for Ref 12 'Benefits Realisation' in Appendix F (page 40) be completed.	FCFO	Oral update to be provided.	24/02/2022
371	11/11/2021	37/21	That paragraph 10 (page 4) of the JIAC Terms of Reference Procedure document relating to Briefing Sessions be amended to reflect the option of holding them virtually.	DCFO	Will be updated by end Feb 2022.	23/02/2022
372	11/11/2021	38/21	That the Committee receive a paper regarding the extent to which the PCC/Force comply with NAO guidance on cyber security.	CAO	CAO will liaise with the Force to ensure that a briefing paper is prepared for the April 2022 Committee meeting.	23/02/2022
373	11/11/2021	42/21	That in light of changes to regional collaborative arrangements such as Regional Specialist Operational Training, the planned internal audits in this area be reconsidered.	CFO/FCFO/ IA	Regional CFOs and Internal Audit have maintained close contact to ensure the relevance and deliverability of the regional IA programme.	24/02/2022

Key

DCC - Deputy Chief Constable
FCFO - Force Chief Finance Officer
FFO - Force Finance Officer
RPRO - Risk Policy Review Officer
ACO - Assistant Chief Officer
CFO - Chief Finance Officer
CAO - Corporate Administration Officer
RPO - Research and Performance Officer
CIM - Continuous Improvement Manager
DCFO - Deputy Chief Finance Officer
CCEA - Chief Constable's Executive Assistant
IA - Internal Auditor
EA - External Auditor