Agenda Item No

13

JOINT INDEPENDENT AUDIT COMMITTEE
28th April 2021

SUBJECT	**POLICE AND CRIME COMMISSIONER AND CHIEF CONSTABLE'S ASSURANCE MAP – DASHBOARD.**
REPORT BY	**CHIEF EXECUTIVE & CHIEF FINANCE OFFICER (CFO)** **CHIEF CONSTABLE & FORCE CHIEF FINANCE OFFICER (FCFO)**
CONTACT OFFICER	**AUBREY WILLIAMS, RESEARCH AND PERFORMANCE OFFICER (RPO)** **TEL: 01522 9 47230**
SUMMARY AND PURPOSE OF REPORT To review the Police and Crime Commissioner and Chief Constable's **Joint Assurance Map Dashboard and progress on its development & implementation.**	
RECOMMENDATION	***That the Committee review the Joint Assurance Map.***

A. SUPPORTING INFORMATION

1. On the 16th March 2021 a Joint Assessment Review (JAR) meeting took place between the OPCC (CEX, CFO, RPO) and the Force (DCC, FCFO, RPRO) to discuss progress with populating the new assurance map template and the next steps in its development. Following the JAR meeting an update report was produced by the RPO and this is attached at **Appendix A**. It confirms the progress made so far and agreed actions. Also attached at **Appendix B** is a draft of the dashboard section of the Assurance Map - Which includes a brief 'user guide' (on page 4) to help all readers to navigate and understand the Map.

2. Please Note: The RAG ratings appearing in the dashboard (Attached at appendix B) have not yet been moderated. It is planned to begin reviewing these at the next JAR meeting June 2021.

3. All developmental changes made to the dashboard section of the Assurance Map are recorded in the change log. This will eventually routinely include any agreed changes to the overall RAG ratings.

4. Further development of the Assurance Map will be reported at the September 2021 JIAC meeting. In the interim the aim is to have completed the following specific activities:

   4.1. RPO / FRPRO - to conduct introductory briefings for the leads of PSD and Custody (and any new 'leads' arising from changes in leadership teams)
   4.2. RPO / FRPRO – to conduct discovery meetings with leads for PSD and Custody to populate the Assurance map Template.
   4.3. RPO / FRPRO – to Identify the next functions to be included in the Assurance map and their anticipated date of induction.

4.4. JAR attendees - To moderate the summary RAG ratings for all completed functions in the dashboard.
4.5. DCC – to have held initial template review meetings with each lead at least once.
4.6. RPO / FRPRO - To complete population of the 'operations section' of the Assurance Map.
4.7. RPO – to finalise the structure and content of the Dashboard section of the Assurance Map.
4.8. The RPO / FRPRO to proactively offer guidance and support on the use of the Assurance map to the leads for each function to help ensure a consistent approach to recording changes and updating progress within the template.
4.9. RPO - As a matter of routine, actions & key discussion points raised at the JAR meetings will be recorded and circulated following each meeting.

5. The overarching aim in this period will be to consolidate the progress made so far and to place emphasis on embedding the use of the Assurance Map to become 'Business as usual'.

B. FINANCIAL CONSIDERATIONS

There are resource implications related to some of the mitigating controls. These are managed by the Chief Executive, Chief Finance Officer and Force Chief Officer Group.

C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS

Any relevant legal and human rights related risks are included in the PCC/CC's risk register.

D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC/CC's risk register.

E. REVIEW ARRANGEMENTS

The Risk Register and assurance map will be reviewed by the Joint Independent Audit Committee on a quarterly basis.

F. RISK MANAGEMENT

Risk management and assurance is an integral part of the Joint Independent Audit Committee's remit. It is reflected on the Forward Plan accordingly.

G. PUBLIC ACCESS TO INFORMATION

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.