Agenda Item No

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JOINT INDEPENDENT AUDIT COMMITTEE
28th April 2021

SUBJECT	**PCC RISK REGISTER**
REPORT BY	**CHIEF EXECUTIVE & CHIEF FINANCE OFFICER**
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SUMMARY AND PURPOSE OF REPORT To review the PCC's Risk Register.	
RECOMMENDATION	**That the Committee reviews the PCC's Risk Register.**

A. SUPPORTING INFORMATION

1. The top strategic risks that would prevent or distract the Commissioner from achieving his goals are recorded in the PCC Risk Register. The risks have been identified based on the PCC's objectives that are stated in his Community Safety, Policing and Criminal Justice Plan April 2017 – March 2021.

2. The PCC's Chief Finance Officer (CFO) and Research and Performance Officer (RPO) attend the Force's Confidential Risk Management Board and Force Risk Management Board respectively. This ensures that the Force's register and the PCC's register are aligned where appropriate. In addition, the Force Risk Policy & Review Officer and the Research and Performance Officer meet on a regular basis to discuss issues arising from both registers (and the joint Assurance Map).

3. The PCC's risk register is reviewed every other month (alternating with the Assurance Map) as part of the standing agenda for the OPCC Management Meeting. The current risk register (V7.53) records 15 risks in total, with 9 currently rated as critical, scoring RED 12 and above and 6 as non-critical, scoring AMBER 9 and below.

4. Since the previous review by the JIAC in January 2021 the register has remained relatively stable with no risks being removed or added and no changes have been made to the scores of any risks.

5. Existing and developing controls have been refreshed across several risks to reflect recent significant changes in both the force leadership, operational focus and governance structure. - these are recorded in the change log. Key amongst these are;
   5.1. Recent changes and plans for the composition and portfolio responsibilities of the Chief Officer Team.
   5.2. Revised force governance structure
   5.3. The development of a new force strategy and priorities - with accompanying delivery plans.
   5.4. Ongoing development of the 'Future Services Programme'

6.0 The PCC's risk register V7.53 April 2021 is attached at **Appendix A.**

B. FINANCIAL CONSIDERATIONS

There are resource implications related to some of the risk control measures that arise from the implementation of these activities. These are managed by the appropriate Chief Officers in each organisation.

C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS

Any relevant legal and human rights related risks are included in the PCC's risk register.

D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC's and Force's risk registers.

E. REVIEW ARRANGEMENTS

The Risk Management Strategies for both the PCC and the Force will be reviewed by JIAC on an annual basis.

F. RISK MANAGEMENT

Risk governance is an integral part of the Joint Independent Audit Committee's remit. It is reflected on the Forward Plan accordingly.

G. PUBLIC ACCESS TO INFORMATION

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.