JOINT INDEPENDENT AUDIT COMMITTEE

SUMMARY ACTION LOG – 28 APRIL 2021

Ref	Meeting Date	Minute No	Resolution	Actioned by	Progress	Updated
245	31/10/2017	52/17	linkages are made between the Assurance Map and the force Strategic Plan	RPO	Force operational delivery plans are under construction - RPO awaiting completion to make linkages with the Assurance Map in order to provide assurance on the progress made against meeting strategic objectives. – Receipt of operational plans from the force scheduled for end December 2018 (DCC response to action 253 refers).	16/10/2018
					The completion of delivery plans by the force has been extended to April 2019 – with the reporting of progress against strategy to appropriate management boards expected by July 2019. (Note: Force intends to develop a new version of the Assurance Map – separate from the current version).	02/04/2019
					New Corporate Planning process underway Oct 2019 – Force now committed to creating a re-structured assurance map jointly with the OPCC – work commenced October 2019 but is reliant on capturing revised assurance evidence from new processes / delivery plans.	01/10/2019

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					Revised Assurance map template and draft implementation plan prepared for presentation to JIAC on 10 June. Awaiting approval by DCC for plan to commence. Population of the new Joint assurance map is underway – operational functions are to be trialled first, next scheduled review of progress in January 2021. New chief constable appointed Dec 2020 – initial corporate planning event held Jan 2021 revised corporate plan and priorities under construction – awaiting revisions to fully reflect in Assurance Map. New assurance map trial via DCC to commence Jan2021. RPO awaiting details of revised force performance / progress monitoring process – to link with Assurance Map.	27/05/2020 09/11/2020 15/01/2021 12/04/2021
293	09/07/2019	25/19	Once finalised, the external review report into the Scheme of Governance be presented to the Committee.	CFO/FCFO	A Governance Working Group has been convened, chaired by the JIAC Chair, to review the Scheme of Governance and provide advice to the PCC and CC.	08/11/2019
304	19/11/2019 27/01/2020	38/19 55/19	The Committee receive a separate briefing session on Regional Collaborative issues.	CFO/DCC DCC/RCM	A briefing session for the Committee will be progressed. The JIAC meeting scheduled for 22 May 2020 was cancelled and the next	16/01/2020 01/06/2020

			The Committee receive a briefing session on Regional Collaborative issues on 22 May 2020.		meeting on 10 June 2020 will be held via video conference. Members will therefore be canvassed for a suitable date on which to receive the presentation. The region is prepared to do a suitable briefing to the Committee. We just need to agree the date. We just need the Committee to agree a date. Briefing scheduled to be delivered on 19 April 2021.	 12/11/2020 07/01/2021 13/04/2021
328	10/06/2020	06/20	A report be presented to a future meeting of the Committee detailing the benefits derived from the response to COVID-19.	DCC	We are back in response for COVID and will at an appropriate point share the necessary information to members. We are still in response. A report was submitted to the Public Assurance and Police and Crime Strategic Board meetings last year re early learning. If members are happy, I can circulate this too them in the interim. See response to ref 342 below.	12/11/2020 07/01/2021 26/03/2021
342	26/01/2021	51/20	That a copy of the COVID impact briefing report be circulated to Members.	DCC	Complete.	26/03/2021

343	26/01/2021	53/20	That the Recruitment follow-up report be presented at the next meeting.	IA	Complete.	19/04/2021
344	26/01/2021	53/20	That a detailed update on the development of fraud awareness training be provided at the next meeting.	DCC/RPO	Counter Fraud Audit report update (Recommendation 4.3) provides outline of key actions arising from extraordinary meeting of AFCSG held on April 7th. Oral update by DCC / RPO available at April 2021 JIAC meeting.	12/04/2021
345	26/01/2021	55/20	Members relay their observations in relation to the Scheme of Arrangements to the Deputy CFO.	DCFO	Observations received and SoA updated where appropriate. Complete.	19/04/2021
346	26/01/2021	55/20	The paragraph numbering for FR37 on page 31 of the Financial, Contract and Procurement Regulations be amended.	DCFO	Complete.	19/04/2021
347	26/01/2021	56/20	Paragraph 3.9 of the report be amended to read *'…This policy is reviewed biennially, with…'*	RPO	Will be completed as part of wider review requested at action ref 350 – 26/01/2021.	12/04/2021
348	26/01/2021	56/20	Information about the Bad Apple confidential web page reporting system be circulated to Members.	DCC	Complete.	26/03/2021
349	26/01/2021	56/20	The Committee be included within the list of external scrutiny bodies listed in section 2 of the joint strategy and 2.6.2 of the policy.	RPO	Will be completed as part of wider review requested at action ref 350 – 26/01/2021.	12/04/2021

350	26/01/2021	56/20	Both the joint strategy and policy documents be reviewed with a view to making them more *'distinct and focussed'*.	RPO	Review underway - to be completed and documents approved & re-published by end of July 2021.	12/04/2021
351	26/01/2021	61/20	That consideration be given to broadening risk C049 to reflect the potential impact of the election being deferred.	RPO	Since the action was raised the election date has been confirmed and will not be deferred.	12/04/2021
352	26/01/2021	62/20	The User Guide be amended to include a brief note explaining the purpose of the Joint Assurance Map.	RPO	Complete.	12/04/2021
353	26/01/2021	62/20	The examples detailed under Operational Management at paragraph 2.1 be amended to include '*Resources*'.	RPO	Complete.	12/04/2021
354	26/01/2021	62/20	The phrase *'external agencies'* under Internal Audit at paragraph 2.4 be amended to read *'independent of line management'*.	RPO	Complete.	12/04/2021
355	26/01/2021	63/20	The Terms of Reference at Appendix A be updated to reflect the Committee's new responsibilities for reviewing a range of documents that	DCFO	Updated and published on PCC website. Complete.	19/04/2021

			had formerly been considered at Resources Governance meetings.			
356	26/01/2021	63/20	The Procedure Document at Appendix B be amended to reflect the fact that exempt Committee papers were no longer provided to members on encrypted memory sticks but uploaded to the Glasscubes online secure portal.	DCFO	Updated and published on PCC website. Complete.	19/04/2021
357	26/01/2021	63/20	The procedure Document at Appendix 'B' be amended to reflect the current timescales for approving the financial statements.	DCFO	Updated and published on PCC website. Complete.	19/04/2021
358	26/01/2021	64/20	That dates of future meetings be amended in line with the Committee's discussions.	DCFO	Complete, see updated Forward Plan	19/04/2021
359	26/01/2021	69/20	That the [Future Services] programme Risk Register be shared with Members.	CAO	Copy of the Risk Register has been requested and will be circulated shortly.	12/04/2021

Key

DCC - Deputy Chief Constable
FCFO - Force Chief Finance Officer
FFO - Force Finance Officer
RPRO - Risk Policy Review Officer
ACO - Assistant Chief Officer
CFO - Chief Finance Officer
CAO - Corporate Administration Officer
RPO - Research and Performance Officer
CIM - Continuous Improvement Manager
DCFO - Deputy Chief Finance Officer
CCEA - Chief Constable's Executive Assistant
IA - Internal Auditor
EA - External Auditor