

JOINT INDEPENDENT AUDIT COMMITTEE
26th January 2021

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SUBJECT		PCC RISK REGISTER
REPORT BY	CHIEF EXECUTIVE & CHIEF FINANCE OFFICER	
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SUMMARY AND PURPOSE OF REPORT		
To review the PCC's Risk Register.		
RECOMMENDATION	That the Committee reviews the PCC's Risk Register.	

A. SUPPORTING INFORMATION

1. The top strategic risks that would prevent or distract the Commissioner from achieving his goals are recorded in the PCC Risk Register. The risks have been identified based on the PCC's objectives that are stated in his Community Safety, Policing and Criminal Justice Plan April 2017 – March 2021.
2. The PCC's Chief Finance Officer (CFO) and Research and Performance Officer (RPO) attend the Force's Confidential Risk Management Board and Force Risk Management Board respectively. This ensures that the Force's register and the PCC's register are aligned where appropriate. In addition, the Force Risk Policy & Review Officer and the Research and Performance Officer meet on a regular basis to discuss issues arising from both registers (and the joint Assurance Map).
3. The PCC's risk register is reviewed every other month (alternating with the Assurance Map) as part of the standing agenda for the OPCC Management Meeting. The current risk register (V7.51) records 15 risks in total, with 9 currently rated as critical, scoring RED 12 and above and 6 as non-critical, scoring AMBER 9 and below.
4. Since the previous review by the JIAC in November 2020 the following key changes have been made to the register:
 - 4.1. One new risk has been added to the register: Risk No 15 - C053, *"Failure to develop a resilient and effective working relationship with the newly appointed chief constable (December 2020)"*.
 - 4.2. One risk has been removed – C050, *"Failure to adequately prepare for the end of the Transition period following Britain's exit from the European Union. (Transition period ends on 1 January 2021)"*. The transition period has ended and the risk is now being managed as an issue under business as usual
 - 4.3. The wording for Risk No 9 – C040, has been amended to reflect the transition away from the existing commercial contract with G4S – rather than the transition to a refreshed contract proposal. ie *"Failure to deliver an efficient*

transition from the current G4S commercial contract to effective alternative solutions, in a timely manner”.

- 4.4. The score for risk No1 – C09, “*Failure to ensure that G4S objectives are delivered (with available resources).*” *Has been raised from Amber 8 (2x4) to Red 12 (3 x 4).*
5. A primary consideration for the management of the commercial contract transition programme is to ensure that all the direct and associated risks are identified, recorded, dealt with and reported on appropriately. Work is ongoing to establish a format and suitable mechanism for the programme to provide assurance to key stakeholders across the risk and control environment.
- 6.0 The PCC’s risk register V7.51 January 2021 is attached at **Appendix A.** - All updates since 4th May 2020 are recorded in its change log.

B. FINANCIAL CONSIDERATIONS

There are resource implications related to some of the risk control measures that arise from the implementation of these activities. These are managed by the appropriate Chief Officers in each organisation.

C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS

Any relevant legal and human rights related risks are included in the PCC’s risk register.

D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC’s and Force’s risk registers.

E. REVIEW ARRANGEMENTS

The Risk Management Strategies for both the PCC and the Force will be reviewed by JIAC on an annual basis.

F. RISK MANAGEMENT

Risk governance is an integral part of the Joint Independent Audit Committee’s remit. It is reflected on the Forward Plan accordingly.

G. PUBLIC ACCESS TO INFORMATION

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.