

**JOINT INDEPENDENT AUDIT COMMITTEE**  
**26<sup>th</sup> January 2021**

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SUBJECT		POLICE AND CRIME COMMISSIONER AND CHIEF CONSTABLE'S ASSURANCE MAP
REPORT BY	CHIEF EXECUTIVE & CHIEF FINANCE OFFICER (CFO) CHIEF CONSTABLE & FORCE CHIEF FINANCE OFFICER (FCFO)	
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SUMMARY AND PURPOSE OF REPORT		
To review the Police and Crime Commissioner and Chief Constable's <b>Joint Assurance Map Dashboard &amp; progress on the trial process..</b>		
RECOMMENDATION	That the Committee review the Joint Assurance Map.	

## **A. SUPPORTING INFORMATION**

- 1) On the 6<sup>th</sup> January 2021 a Joint Assessment Review (JAR) meeting took place between the OPCC (CFO, RPO) and the Force (DCC, CFO, RPRO) to discuss progress with populating the new assurance map template and the next steps in its trial. Following the JAR meeting an update report was produced by the RPO and this is attached at **Appendix A**. It confirms the progress made so far, agreed actions and the next steps. Also attached at **Appendix B** is a draft of the dashboard section of the Assurance Map - Which includes a brief 'user guide' (on page 4) to help all readers to navigate and understand the Map.
- 2) Since the previous review of the assurance map by the JIAC in November 2020 further discovery meetings have been completed with the operational leads for local policing - east, the force control room (FCR) and the Crime department. The crime assurance map is in draft form awaiting approval by the head of the department. This means that there are now 4 completed map sections and 1 pending approval all for operational policing functions.
- 3) It has been agreed that the reporting of the assurance map should be split into two sections to aid both transparency and where appropriate the security of sensitive information:
  - a) Section one - The 'dashboard' will appear in the part of the JIAC meeting open to the public. The dashboard report will contain a table summarising the RAG ratings contained in the map and an update on progress with the trial and planned development of the Map.
  - b) Section two (the detailed content of the map) will appear in the part of the JIAC meeting closed to the public, as it contains potentially sensitive information on operational policing and support functions.

Please Note: The RAG ratings appearing in the dashboard (Attached at appendix B) have not yet been moderated at a JAR meeting. It is planned to review these once the trial is underway and initial meetings between the DCC and functional leads have been completed.

- 4) To support the efficient administration of the Map a shared drive has been established which contains 'master documents' for each element of the map. These masters will be maintained by the functional leads with general administration and quality assurance supported by the RPO / FRPRO.
- 5) The trial of the new map is scheduled to commence on the 20<sup>th</sup> January when the Deputy Chief Constable will begin a series of meetings with the operational leads for each function, on a quarterly basis. Feedback from these meetings will help to inform the further development of the map and associated processes.
- 6) The next operational functions to be included in the trial are likely to be the PSD and Custody. This will depend on whether the discovery meetings for the support functions in the map are to be brought forward to help inform a baseline for the solutions being prepared to replace the current commercial contract. The value of this is currently being considered by the DCC and the commercial contract transition programme director.
- 7) The next scheduled JAR meeting is on 16<sup>th</sup> March 2021 when progress will be reviewed.

## **B. FINANCIAL CONSIDERATIONS**

There are resource implications related to some of the mitigating controls. These are managed by the Chief Executive, Chief Finance Officer and Force Chief Officer Group.

## **C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS**

Any relevant legal and human rights related risks are included in the PCC/CC's risk register.

## **D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES** (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC/CC's risk register.

## **E. REVIEW ARRANGEMENTS**

The Risk Register and assurance map will be reviewed by the Joint Independent Audit Committee on a quarterly basis.

## **F. RISK MANAGEMENT**

Risk management and assurance is an integral part of the Joint Independent Audit Committee's remit. It is reflected on the Forward Plan accordingly.

## **G. PUBLIC ACCESS TO INFORMATION**

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.