

JOINT INDEPENDENT AUDIT COMMITTEE
SUMMARY ACTION LOG – 26 JANUARY 2021

Ref	Meeting Date	Minute No	Resolution	Actioned by	Progress	Updated
245	31/10/2017	52/17	linkages are made between the Assurance Map and the force Strategic Plan	RPO	<p>Force operational delivery plans are under construction - RPO awaiting completion to make linkages with the Assurance Map in order to provide assurance on the progress made against meeting strategic objectives.</p> <p>– Receipt of operational plans from the force scheduled for end December 2018 (DCC response to action 253 refers).</p> <p>The completion of delivery plans by the force has been extended to April 2019 – with the reporting of progress against strategy to appropriate management boards expected by July 2019. (Note: Force intends to develop a new version of the Assurance Map – separate from the current version).</p> <p>New Corporate Planning process underway Oct 2019 – Force now committed to creating a re-structured assurance map jointly with the OPCC – work commenced October 2019 but is reliant on capturing revised assurance evidence from new processes / delivery plans.</p>	<p>16/10/2018</p> <p>02/04/2019</p> <p>01/10/2019</p>

					<p>Revised Assurance map template and draft implementation plan prepared for presentation to JIAC on 10 June. Awaiting approval by DCC for plan to commence.</p> <p>Population of the new Joint assurance map is underway – operational functions are to be trialled first, next scheduled review of progress in January 2021.</p> <p>New chief constable appointed Dec 2020 – initial corporate planning event held Jan 2021 revised corporate plan and priorities under construction – awaiting revisions to fully reflect in Assurance Map. New assurance map trial via DCC to commence Jan2021.</p>	<p>27/05/2020</p> <p>09/11/2020</p> <p>15/01/2021</p>
293	09/07/2019	25/19	Once finalised, the external review report into the Scheme of Governance be presented to the Committee.	CFO/FCFO	A Governance Working Group has been convened, chaired by the JIAC Chair, to review the Scheme of Governance and provide advice to the PCC and CC.	08/11/2019
304	19/11/2019	38/19	The Committee receive a separate briefing session on Regional Collaborative issues.	CFO/DCC	A briefing session for the Committee will be progressed.	16/01/2020
	27/01/2020	55/19	The Committee receive a briefing session on Regional Collaborative issues on 22 May 2020.	DCC/RCM	The JIAC meeting scheduled for 22 May 2020 was cancelled and the next meeting on 10 June 2020 will be held via video conference. Members will therefore be canvassed for a suitable	01/06/2020

					<p>date on which to receive the presentation.</p> <p>The region is prepared to do a suitable briefing to the Committee. We just need to agree the date.</p> <p>We just need the Committee to agree a date.</p>	<p>12/11/2020</p> <p>07/01/2021</p>
328	10/06/2020	06/20	A report be presented to a future meeting of the Committee detailing the benefits derived from the response to COVID-19.	DCC	<p>We are back in response for COVID and will at an appropriate point share the necessary information to members.</p> <p>We are still in response. A report was submitted to the Public Assurance and Police and Crime Strategic Board meetings last year re early learning. If members are happy, I can circulate this too them in the interim.</p>	<p>12/11/2020</p> <p>07/01/2021</p>
333	10/06/2020	20/20	The DCFO liaise with the Internal Auditor and arrange a session for the Committee to meet and discuss the forthcoming self-assessment exercise.	DCFO	<p>Current plan is to arrange a separate session in early December to complete the self-assessment, member availability yet to be canvassed.</p> <p>Self-Assessment session held 17/12/2020. Resulting action plan is on the JIAC agenda with the Forward Plan. Complete</p>	<p>16/11/2020</p> <p>15/01/2021</p>
337	25/11/2020	28/20	That discussions in relation to the Internal Audit Plan for 2021/22 take place as soon as possible.	DCFO	Planning session for 2021/22 is in the diary for mid-February. Invitees have been contacted to flag up any specific risks/concerns arising from the Covid	15/01/2021

					pandemic that may warrant an internal audit sooner rather than later. Complete	
338	25/11/2020	34/20	That a standing item on the G4S contract be included on the forward plan for future Committee agendas.	DCFO	This has been added to the Forward Plan from January 2021 and is in the excluded section of the agenda. Complete	15/01/2021
339	25/11/2020	38/20	That the Accounts PCC/CFO narrative for 2019/20 include comparative data for previous years in relation to gender pay gap.	CFO/DCFO	Noted, this will be included in subsequent versions. Complete	15/01/2021
340	25/11/2020	42/20	That future [Treasury Management] reports demonstrate how the cash position fluctuates in the year.	CFO/FCFO/DCFO	Noted, this will be included in subsequent versions. Complete	15/01/2021
341	25/11/2020	47/20	That the tenure expiration of members of the committee be considered for action.	DCFO	Summary of overall member tenure status has been sent to the Chair. Separate communication has been sent to individual members who have a term end date in the next year. Recruitment of members links to self-assessment action plan. Complete	15/01/2021

Key

DCC - Deputy Chief Constable
FCFO - Force Chief Finance Officer
FFO - Force Finance Officer
RPRO - Risk Policy Review Officer
ACO - Assistant Chief Officer

CFO - Chief Finance Officer
CAO - Corporate Administration Officer
RPO - Research and Performance Officer
CIM - Continuous Improvement Manager

DCFO - Deputy Chief Finance Officer
CCEA - Chief Constable's Executive Assistant
IA - Internal Auditor
EA - External Auditor