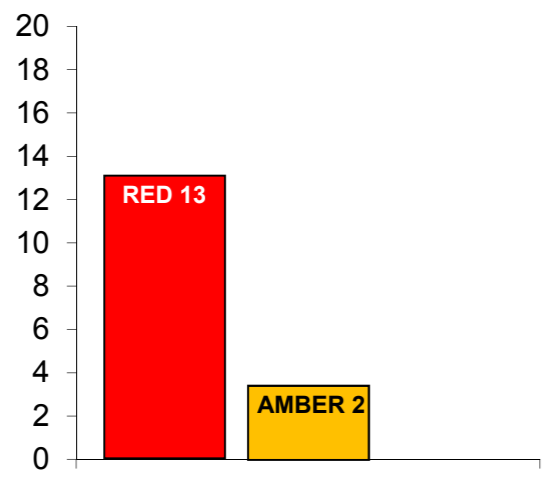
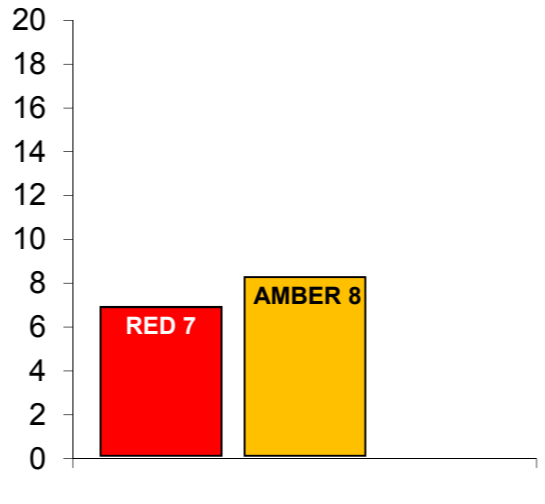


**POLICE AND CRIME COMMISSIONER FOR LINCOLNSHIRE
RISK REGISTER
EXECUTIVE SUMMARY**

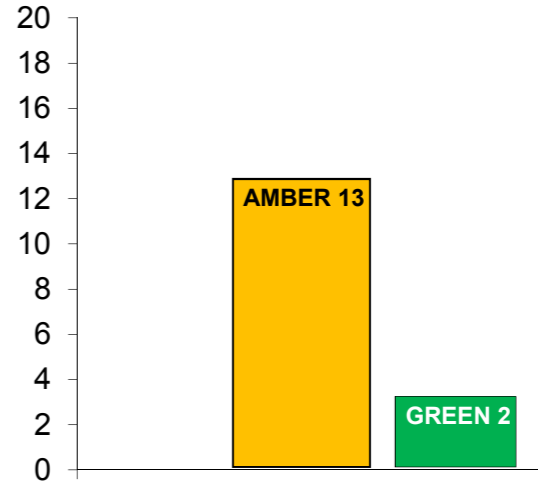
INHERENT EXPOSURE (Number of Risks)



CURRENT EXPOSURE (Number of Risks)



TARGET EXPOSURE (Number of Risks)



INHERENT EXPOSURE (Risk Distribution)

LIKELIHOOD		IMPACT			
		1	2	3	4
4		A	A	R	R 7
3		G	A	A 1	R 6
2		G	A	A	A 1
1		G	G	G	A

CURRENT EXPOSURE (Risk Distribution)

LIKELIHOOD		IMPACT			
		1	2	3	4
4		A	A	R	R 2
3		G	A	A 4	R 5
2		G	A	A	A 3
1		G	G	G	A 1

TARGET EXPOSURE (Risk Distribution)

LIKELIHOOD		IMPACT			
		1	2	3	4
4		A	A	R	R
3		G	A	A 1	R
2		G	A 1	A 5	A 2
1		G	G	G 2	A 4

ID	RISK DESCRIPTIONS	SPONSOR	Current Rating	ID	RISK DESCRIPTIONS	SPONSOR	Current Rating
1	Failure to Ensure that G4S objectives are delivered (with available resources)	PCC (CFO)	Amber	9	Ineffective relationships with Local Partners (Inc LCC, District Councils, Health services, Fire/ Ambulance)	PCC (CE)	Amber
2	Lack of Capacity & Resilience in the Office of Police and Crime Commissioner	PCC (CE)	Amber	10	Failure to plan for effective transition from current Commercial Contract arrangements.(Contract ends in 2021/22)	PCC (CE)	Red
3	Failure to persuade Government of Lincolnshire's case for a fairer share of national funding, including lack of recognition of the rural costs in the formula; compounded by potential budget cuts presented by HM Treasury in next spending review period (2014/15 – 2017/18)	PCC (CFO)	Red	11	Failure to maintain or develop beneficial regional collaborations. (Following significant changes in regional leadership landscape - PCCs and Chief Officers)	PCC (CE)	Red
4	Failure to achieve and demonstrate efficiencies, value for money (VfM) and optimum workforce productivity	PCC (CE)	Red	12	Failure to: Effectively comply with the New UK Data Protection Act (came into effect on 25 May 2018)	PCC (CE)	Amber
5	Failure to deliver objectives of Tri-service "Blue Light Programme".	PCC (CE)	Red	13	Failure of the Force to deliver operational effectiveness	PCC (CE)	Red
6	Financial loss (default on investments, bad debt, major incident)	PCC (CFO)	Amber	14	Failure to: obtain satisfactory VfM from disposal of 'West Parade' Police Station (Lincoln City)	PCC (CE)	Amber
7	"Resilience & Instability in Force Chief Officer team (Recent significant changes in Chief Officer team)	PCC (CE)	Amber	15	Failure to: Contain expenditure within available resources	PCC (CFO)	Red
8	Lack of effective service commissioning capacity (limited OPCC capacity / capability to deliver full service)	PCC (CE)	Amber				

RISK REGISTER

POLICE & CRIME COMMISSIONER FOR LINCOLNSHIRE

OBJECTIVES
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 D. COLLABORATION

NOT PROTECTIVELY MARKED

ID	Unique Ref No.	Linked to Objective	Description of Risk		Risk Sponsor	Inherent Risk Score 1:Low 4:High		Overall Inherent Risk Score	Existing Controls	Owner (the do-er)	Current Risk Score 1:Low 4:High		Current Risk Score	New/Developing Controls (Inc any Dates)	Owner (responsible for controls)	Target Risk Score 1:Low 4:High		Overall Target Risk Score	Review Date
			Source (Lack of... Failure to...)	Consequences (Results in ... Leads to ...)		Likelihood	Impact				Likelihood	Impact				Likelihood	Impact		
1	C09	A B C	Failure to ensure that G4S objectives are delivered (with available resources)	<ul style="list-style-type: none"> • Policing and crime objectives not achieved • Public confidence in PCC, force and criminal justice partners is undermined. • Ineffective allocation of funding and issuing of crime and disorder reduction grants • poor use of resources • Negative media and reputational consequences regarding the public's trust and confidence in policing. 	CFO	4	4	16	<ul style="list-style-type: none"> • Ongoing OPCC attendance at Performance and Delivery (P&D) Board - oversight of risks. • Contract refresh (performance/financial model). CPT Manager to devise planning timeline and planning assumptions (reduce contract by £2M/annum by Sept 2015 and deliver further savings by April 2016), • G4S 10 year contract (SDA) in place, inc Governance framework, Commercial Partnership team (CPT) i to monitor performance and delivery of contract • Risk management - CPT Risk register reviewed via P&D Board - escalation process in place if any serious risks are identified • Monthly Transactional Management board in place • Performance management of contract/SKPIs/KPIs/PIs/MIs • Internal Audit Programme • G4S Programme Director in place. 	CPT & CFO & CE	2	4	A 8 ↓	<ul style="list-style-type: none"> • Watching brief: stability G4S leadership. • G4S Dialogue • Contract review scheduled • G4S Integrated support strategy 2017 - 2020 (awaiting ratification) • Review of contractual performance indicators being planned (Finance and CPT) 	CE & CFO	2	3	6	OPCC Management Meeting
2	C06	A B C D	Lack of Capacity & Resilience in the Office of Police and Crime Commissioner	<ul style="list-style-type: none"> • Instability, lack of leadership in short term and need to invoke contingency plans (through Police and Crime Panel) • Inability to achieve objectives stated in Police and Crime Plan • Individual and team is vulnerable • Capacity and capability related performance issues. • Negative media coverage / reputational consequences (trust and confidence in PCC undermined) 	PCC (CE)	3	4	12	<ul style="list-style-type: none"> • OPCC Policies and procedures Inc continuity plan. • Scheme of arrangements (CE) • Police and Crime Panel in place with procedures as required. • Guidance on the occurrence of a vacancy/absence, incapacity or suspension in OPCC • DCFO appointed April 2015 • Contract in place for Comms and Media support services to PCC - Dec 2016 – 2 Interns recruited annually - July - start date Sept (recurring) • Collaborative work with Partners on Mental Health Strategy - (LPFT). • Full time CFO appointed to the force releasing some DCFO capacity for OPCC. • Use of external contractors eg creation of Victims Strategy by 'Inspiration' consultancy • EM Regional PCC's secretariat established – (Jan 2018) • Partnerships and Delivery Manager & Officer roles established - Jan & March 2018). • Communications Officer (Victims services) – commenced October 2018. • Service Delivery Manager (Victims services) – commenced October 2018. 	CE	2	4	A 8 ↓	<ul style="list-style-type: none"> • Administration apprentice –, anticipated start date Oct 2018 (subject to vetting) 	CE	2	3	6	OPCC Management Meeting

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			Source (Lack of... Failure to...)	Consequences (Results in ... Leads to ...)		Likelihood	Impact				Likelihood	Impact				Likelihood	Impact		
3	CO1	A B C D	Failure of Government to address Lincolnshire's case for a fairer share of national funding , including lack of recognition of the rural costs in the formula.	<ul style="list-style-type: none"> increased pressure on local council tax precept And/or resulting in the PCC's inability to provide sufficient resources to deliver services. 	PCC (CFO)	4	4	16	<ul style="list-style-type: none"> Policing Minister visit, HS visit, civil servants visits CC report 'Structure of Police Finance' - informing the debate (July 2015) Representation at HO events Respond to consultations (government reviews of formula). 2016 HMIC PEEL Efficiency report recognises Lincolnshire's funding / efficiency situation. Policing Minister Visit to Force (Sept 2017) 	CC/ CFO CE	4	4	R 16 ↔	<ul style="list-style-type: none"> Funding formula being revisited by HO - recognition of unfairness of current formula. Participation in national (evidence based) response to HO regarding review of funding formula. 	CFO	2	4	8	OPCC Management Meeting
4	CO2	A B C D	Failure to achieve and demonstrate efficiencies, value for money (VfM) and optimum workforce productivity	leading to: <ul style="list-style-type: none"> PCC's inability to provide sufficient resources to deliver policing services Negative reputational consequences through media coverage. deterioration in performance poor use of resources Effectiveness and efficiency of policing in Lincolnshire is reduced or not improved. 	PCC (CE)	4	4	16	<ul style="list-style-type: none"> Savings Tracker/Resources Gov Meeting VfM Strategy HMIC - PEEL EFFICIENCY Nov 2017 inspection grade = "requires improvement". Int Audit Nov 2016- overtime / time recording = 'Satisfactory' Int Audit June 2016- Payroll = 'Satisfactory'. Robust procurement process / arrangements in place VfM / Efficiency plan 2017/18 - in place Feb 2017. KPMG Audit includes VfM conclusion / opinion. Benefits realisation strategy in place April 2017 	CE & CFO	4	4	R 16 ↑	<ul style="list-style-type: none"> Internal audit plan New 'change board' and "Senior management board" introduced by force with remit to monitor and manage benefits realisation elements of work. Force engaged with CIPFA benchmarking programme. Benefits realisation to be included in business case templates (Nov 2017) 	CFO & DCC	2	4	8	OPCC Management Meeting
5	CO36	A B C D	Failure to deliver objectives of Tri-service "Blue Light Programme" .	Leading to <ul style="list-style-type: none"> Negative reputational consequences Negative impact on Tri-service relationship Negative impact on delivery of Police, Fire & Rescue and Ambulance services 	PCC (CE)	4	4	16	<ul style="list-style-type: none"> Formal project management methodology applied (Prince II) Governance structure (monitoring & oversight) Inc Finance / grant structure. Full Business Case Heads of terms agreement Collaboration agreement PCC regular 1-2-1 meetings with BL programme director – appointed May 2018. Tri-service governance structure - (Steering Group / Programme Board, / Project Group) Links between Tri-service partners and key stakeholders Organisational decision making processes Programme risk register / management 	CE/ CFO FCFO PCC	3	4	R 12 ↔	<ul style="list-style-type: none"> Discussions between Tri-service agencies to look at potential for closer operational collaboration - including use of estate assets. Steering group meetings frequency – confirmed as monthly Spring 2019 – set as tri-service campus completion date. New project manager in place (June 2018) 	CE / CFO & FCFO & COG	2	4	8	OPCC Management Meeting

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			Source (Lack of... Failure to...)	Consequences (Results in ... Leads to ...)		Likelihood	Impact				Likelihood	Impact			Likelihood	Impact		
6	CO33	A B C D	Financial loss (default on investments, bad debt,)	Leading to • Negative impact on service delivery • Reduced spend and inability to respond to operational demands • Negative reputational consequences	PCC (CFO)	2	4	8	<ul style="list-style-type: none"> Reserves policy (reduced facility to use reserves to mitigate any risk of financial loss 2018/19) Treasury Management strategy Internal Audit / review 'Cash, Banking and Treasury Management' (March 2018 – green satisfactory assurance) Financial governance reporting to JIAC inc TM Treasury management plan - part of Financial Strategy. Audit of online banking / investment setup - Conclusion - Significant assurance (July 2017) 	CFO RPO	1	4		CC	1	4	4	OPCC Management Meeting
7	CO37	A B C D	Resilience & Instability in Force Chief Officer team <i>(Recent significant changes in Chief Officer team)</i>	<ul style="list-style-type: none"> Ineffective leadership - in short term Inability to achieve operational & strategic objectives Capacity and capability related performance issues. Trust and confidence is undermined - (internal & external) Deterioration in relationship with strategic partners / stakeholders - (Commercial partner (G4s) / statutory partners) Deterioration in strategic / governance relationship with OPCC. Lack of clarity around strategic Objectives Lack of force vision Sub-optimal use of resources 	CE	4	4	16	<ul style="list-style-type: none"> Guidance on the occurrence of a vacancy/absence, incapacity or suspension of Chief Officers. CC Scheme of delegation Contingency planning / Business continuity plans. Governance structure New Chief Constable appointed – February 2017 New ACO Resources appointed - 19 May 2017.(2 Year Contract) Permanent DCC appointed Feb 2018 (from 'Acting' Role) Chief of staff appointed Feb 2018 (new role) Permanent ACC appointed June 2018 	CC	2	4	<ul style="list-style-type: none"> Additional Temporary ACC retained in Chief officer team 	CC	1	4	4	OPCC Management Meeting
8	CO38	A B C D	Lack of effective service commissioning capacity <i>(Limited OPCC capacity / capability to deliver full service commissioning lifecycle)</i>	<ul style="list-style-type: none"> Ineffective leadership - in short term Inability to achieve operational & strategic objectives Capacity and capability related performance issues. Trust and confidence is undermined - (internal & external) Deterioration in relationship with strategic partners / stakeholders - (Commercial partner (G4s) / statutory partners) Deterioration in strategic / governance relationship with OPCC. Lack of clarity around strategic Objectives Lack of force vision Sub-optimal use of resources 	CE	3	4	12	<ul style="list-style-type: none"> Current governance structure Current management structure Use of external consultants / seconded managers, in creation of new strategy and elements of commissioning process (eg Mental Health Strategy - Oct 17– Jan 2018) Outsourcing elements of commissioning cycle to specialist external providers - (e.g. Victims Strategy April 2017). EM Regional PCC's secretariat established – (Jan 2018) Partnerships and Delivery Manager & Officer roles established in Jan & March 2018). 	CE/ CC	3	3	<ul style="list-style-type: none"> New victims service commissioned Developing closer links with CPT and finance departments to support commissioning life cycle. 	CE	1	4	4	OPCC Management Meeting

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			Source (Lack of... Failure to...)	Consequences (Results in ... Leads to ...)		Likelihood	Impact				Likelihood	Impact				Likelihood	Impact		
9	C039	B C D	Ineffective relationships with Local Partners (Inc LCC, District Councils, Health services, Fire/ Ambulance)	<ul style="list-style-type: none"> Delayed or protracted decision making Inability to progress community safety priorities Disengagement and inability to deliver joint objectives and outcomes for the public Trust and confidence is undermined - (internal & external) Lack of strategic alignment inability to effectively manage interdependencies Sub-optimal use of resources 	CE	3	3	9	<ul style="list-style-type: none"> Existing Governance structure Existing relationships. Collaborative agreements in place (e.g. Blue light programme) PCC Vice chair of SLP Partnerships and Delivery Support Officer in place from 2 Jan 2018. Partnerships and Delivery Manager role in place from March 2018. Safer Lincolnshire Partnership (SLP) – increased representation at SLP meetings by OPCC (All CPGs attended). 	PCC / CE	3	3	A 9	<ul style="list-style-type: none"> Community Safety, Policing and Criminal Justice Plan 2017-2021. Development of County wide Mental Health Strategy (Oct – Dec 2017). Community Safety Team project work Recommendations following Audit of Lincs Road Safety Partnership Aug 2017. Revised Victims strategy in place from Jan 2018. 	PCC & CE	1	3	3	OPCC Management Meeting
10	C040	B C D	Failure to: plan for and deliver effective transition from current Commercial Contract arrangements. (Contract ends in 2021/22)	<ul style="list-style-type: none"> Deterioration of relationship with partners & key stakeholders Inability to achieve operational & strategic objectives ineffective and inefficient service delivery model Trust and confidence is undermined - (internal & external) Deterioration in strategic / governance relationship with OPCC. Lack of clarity around strategic Objectives Negative financial impact (increased and consequential costs) Sub-optimal use of resources 	CE	3	4	12	<ul style="list-style-type: none"> Contractual review arrangements in place Governance structure / processes Relationship with commercial partner 	CE / CC	3	4	R 12	<ul style="list-style-type: none"> Further Review meeting (OPCC/Force) Scheduled for "Early summer" 2018. Planning underway to ensure appropriate resources allocated to the review process. Discussions ongoing regarding ownership of contract. Joint project being initiated to develop clear programme of work & 'roadmap'. 	CE CFO	2	3	6	OPCC Management Meeting
11	C041	A B C D	Failure to: maintain or develop beneficial regional collaborations. (Following significant changes in regional leadership landscape - PCCs and Chief Officers)	<ul style="list-style-type: none"> Ineffective relationships with regional forces Inability to maintain resilience of operational services including Strategic Policing Requirement (SPR) Negative financial implications - reduced savings / increased costs. Trust and confidence is undermined - (internal & external) Diminished capability to meet operational demand. Negative media coverage - reputational damage. Lack of clarity around strategic Objectives Lack of force vision Sub-optimal use of resources 	CC	4	4	16	<ul style="list-style-type: none"> Regional governance structure. Section 22 Agreements' in place Internal Audit reporting to include regional audits (reporting to JIAC from July 27 2017 Creation of Regional Secretariat (for PCCs) 3 new roles including Regional Collaboration Manager, Analyst, and Meetings administrator – established Jan-March 2018. 	PCC / CE	4	4	R 16	<ul style="list-style-type: none"> PCC / CC discussions over new regional 3 force collaboration model for EMOpSS - (NHants / Leic / Lincs). Chief officer discussions on re-alignment of resources to ensure continued effectiveness of EMOpSS collaboration. May 1st 2018 to March 2020 - Establishment of "Regional Efficiency Board" (CC's and PCC's) with remit to look at future budgets / benefits measurement and implications for changes in scale (up and down) of existing regional collaborations. 	CE PCC CFO	2	3	6	OPCC Management Meeting

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			Source (Lack of... Failure to...)	Consequences (Results in ... Leads to ...)		Likelihood	Impact				Likelihood	Impact			Likelihood	Impact		
12	C042	A B C D	Failure to: Effectively comply with the New UK Data Protection Act incorporating EU directives - General Data Protection Regulations (GDPR) and the Law Enforcement Directive (LED) - Applies from 25 May 2018.	<ul style="list-style-type: none"> Breach of legislation Unlawful / inappropriate processing of data Financial penalty - potentially significant amount. Reputational damage Negative media coverage 	CE	3	4	12	<ul style="list-style-type: none"> Existing data control processes (MOPI etc) ICO Preparedness Self- assessment completed (IMU) National DPA reform working group –Police specific advice. ICT / IMU governance structure. Commercial partnership governance structure. Data Protection Officer - in place (Including OPCC) 	Ho - IMU	3	3	A 9 ↔	CE	1	3	3	OPCC Management Meeting
13	C044	ABCD	Failure of: The force to deliver operational effectiveness.	<ul style="list-style-type: none"> Rising trend of recorded crime in Lincolnshire. Declining trend of “positive outcomes” Declining trend in public satisfaction with the Force Lack of confidence in Lincolnshire police Reputational damage – linked to public perception of “high level of crime / poor outcomes / responsiveness of the force”. 	CE	4	4	16	<ul style="list-style-type: none"> Governance Framework (Force and OPCC / Police and Crime Panel) Force strategic plan – “Distinctively Lincolnshire” Force Performance management processes (tactical tasking) Performance specific monitoring / analysis & reporting processes (Force / OPCC) Current Police and Crime Plan Partnership working Regional Collaborative service delivery Force Delivery plan manager appointed (Dec 2017). 	CC/ PCC/ CE	3	4	R 12 ↑	CC / PCC / CE	2	3	6	OPCC Management Meeting
14	C045	ABCD	Failure to: Obtain satisfactory VfM from disposal of ‘West Parade’ Police Station (Lincoln City)	<ul style="list-style-type: none"> Reputational Damage Negative media coverage Negative financial implications - Organisation left with “White elephant” Stakeholder scrutiny / investigation Community concerns 	CE	3	4	12	<ul style="list-style-type: none"> Governance structure Decision making process Specific Project group in place 	PCC/ CE	3	3	A 9 ↔	PCC	2	3	6	OPCC Management Meeting
15	C046	ABCD	Failure to: Contain expenditure within available resources	<ul style="list-style-type: none"> Reputational Damage Negative media coverage Negative financial implications Stakeholder scrutiny / investigation Breach of Financial regulations 	CFO	3	4	12	<ul style="list-style-type: none"> Governance framework Decision making processes Financial regulations Financial reporting – governance meetings 		3	4	R 12 ↑		1	4	4	OPCC management Meeting

SCORING MATRIX & DIRECTION OF TRAVEL

Likelihood		Impact			
		Low 1	Medium 2	High 3	Very High 4
Very High	4	AMBER 4	AMBER 8	RED 12	RED 16
High	3	GREEN 3	AMBER 6	AMBER 9	RED 12
Medium	2	GREEN 2	AMBER 4	AMBER 6	AMBER 8
Low	1	GREEN 1	GREEN 2	GREEN 3	AMBER 4

Risk Appetite is defined by thick black line between Amber and Red risk scores

LIKELIHOOD

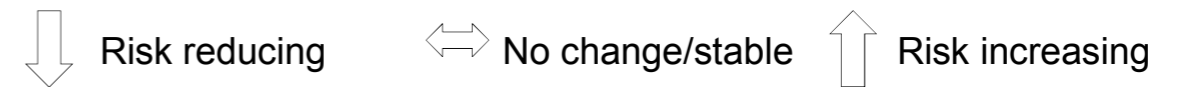
	Score	Description
Very High	4	More than a 75% chance of occurrence.
High	3	More than a 50% chance of occurrence.
Medium	2	More than a 25% chance of occurrence.
Low	1	Less than a 25% chance of occurrence.

IMPACT

Score	Performance/ Service Delivery	Finance /Efficiency £	Confidence/ Reputation	Health & Safety	Environment	Strategic Direction
Very High 4	Major disruption to service delivery Major impact on performance indicators noticeable by stakeholders	Overall >1,000,000 Business Area >150,000	Major stakeholder/investigations /longer lasting community concerns Major reputational damage adverse national media coverage	Death or a life changing injury	Very high negative environmental impact (high amount of natural resources used, pollution produced, biodiversity affected)	Major impact on the ability to fulfil strategic objective
High 3	Serious disruption to service delivery Serious impact on performance indicators noticeable by stakeholders	Overall 251,000-1,000,000 Business Area 41,000-150,000	Serious stakeholder/investigations /prolonged specific section of community concerns Serious reputational damage adverse national media coverage	An injury requiring over 24-hours hospitalisation and /or more than 3 days off work or a major injury as defined by the RIDDOR ¹⁰ Regs	High negative environmental impact (medium amount of natural resources used, pollution produced, biodiversity affected)	Serious impact on the ability to fulfil strategic objective
Medium 2	Significant disruption to service delivery Noticeable impact on performance indicators	Overall 51,000-250,000 Business Area 11,000-40,000	Significant investigations/specific section of community concerns Significant reputational damage adverse local media coverage	An injury requiring hospital/professional medical attention and/or between one day and three days off work with full recovery	Medium negative environmental impact (low amount of natural resources used, pollution produced, biodiversity affected)	Significant impact on the ability to fulfil strategic objective
Low 1	Minor disruption to service delivery Minor impact on performance indicators	Overall <50,000 Business Area <10,000	Complaints from individuals Minor impact on a specific section of the community	An injury involving no treatment or minor first aid with no time off work	Low negative environmental impact (limited amount of natural resources used, pollution produced, biodiversity affected)	Minor impact on the ability to fulfil strategic objective

Direction of Travel

(Overall judgement based on the controls in place/planned)



POLICE AND CRIME COMMISSIONER FOR LINCOLNSHIRE

RISK REGISTER: CHANGE LOG (Showing changes made since 01/01/2017)

No	Date	Author	Risk URN	Item	Changes	Comments
7.11	05/01/2017	RPO	C036	Blue light programme	Increase current risk score to 16 from 12 and include new developing control re review of project / programme Governance	Discussed at IMM January 4 2017
7.11	09/01/2017	RPO	C01	National Funding	Update developing controls and transfer some developing controls into existing column	Discussed at IMM January 4 2017
7.11	20/01/2017	RPO	C033	Financial Loss	Update developing controls with review of TM Plan	CFO - post PCSB meeting 19/01/17
7.11	20/01/2017	RPO	C02	VfM	Update developing controls re newly introduced Change board and draft benefits realisation strategy	CFO - post PCSB meeting 19/1/17
7.11	03/03/2017	RPO	C036	Blue light programme	Amend existing controls and developing controls re changes in governance and new appointments planned / advertised.	Info provided at March IMM meeting
7.13	11/05/2017	RPO	C06	Resilience in OPCC	Change description of risk to include "capacity"	Agreed at IMM April 2017
7.13	12/05/2017	RPO	C036	Blue light programme	Update developing controls	Refreshed at IMM April 2017
7.14	17/05/2017	RPO	C037	Resilience & Instability of Force Chief Officer team.	New risk added	Agreed at IMM 17 May
7.14	17/05/2017	RPO	C038	OPCC Lack of commissioning capacity	New risk added	Agreed at IMM 17 May
7.14	28/06/2017	RPO	C036	Blue light programme	Amend inherent score - error in recording - should have been scored 16 not 12	Identified at IMM 17 May
7.14	28/06/2017	RPO	C039	Ineffective relationship with local partners	New risk added	Agreed at Annual Risk Register review meeting 28 June
7.14	28/06/2017	RPO	C040	Failure to plan for transition to new contract	New risk added	Agreed at Annual Risk Register review meeting 28 June
7.14	28/06/2017	RPO	C041	Failure to maintain or develop beneficial regional collaborations	New risk added	Agreed at Annual Risk Register review meeting 28 June
7.14	28/06/2017	RPO	None	All Entries	Removal of status Column - superfluous to judgement of risk level / not used in practice.	RPO amended
7.14	28/06/2017	RPO	C01	National Funding	Update developing controls and transfer some developing controls into existing column	discussed at IMM 19 July 2017
7.14	28/06/2017	RPO	C033	Financial Loss	Update developing controls with review of TM Plan	discussed at IMM 19 July 2017
7.14	28/06/2017	RPO	C02	VfM	Update developing controls re newly introduced Change board and draft benefits realisation strategy.	discussed at IMM 19 July 2017
7.14	28/06/2017	RPO	C036	Blue light programme	Amend existing controls and developing controls re changes in governance and new appointments / commencement in roles	discussed at IMM 19 July 2017
7.14	12/07/17	RPO	None	Executive Summary	Updated to reflect current risk profiles and IDs - following annual review meeting on 28 June.	updated 12 July 2017

POLICE AND CRIME COMMISSIONER FOR LINCOLNSHIRE

RISK REGISTER: CHANGE LOG (Showing changes made since 01/01/2017)

No	Date	Author	Risk URN	Item	Changes	Comments
7.15	16/10/2017	RPO	None	Entire Document	Risk Register content transferred from Excel to Word format	updated 16 October 2017
7.16	16/10/17	RPO	C01	National Funding	Update existing and developing controls	non
7.16	16/10/17	RPO	C06	Lack of capacity and resilience OPCC	Update existing and developing controls	non
7.16	16/10/17	RPO	C037	Resilience and instability in Force Chief officer team	Update existing and developing controls	non
7.16	16/10/17	RPO	C039	Ineffective relationships with local partners	Update existing and developing controls	non
7.16	16/10/17	RPO	C041	Failure to maintain or develop beneficial regional collaborations	Update existing and developing controls	non
7.16	16/10/17	RPO	C038	Lack of effective service commissioning capacity	Update existing and developing controls	non
7.16	08/11/17	RPO	C042	Failure to - Prepare & implement GDPR / LED – new Data Protection Act – from 25 May 2018.	Entry of new Risk C042	Approved 8 Nov following discussion / proposal at IMM
7.17	12/12/17	RPO	C037	<ul style="list-style-type: none"> Chief Officer team resilience & Instability, C037. 	Update developing controls.	Amended following IMM in Dec 2017.
7.17	12/12/17	RPO	C043	<ul style="list-style-type: none"> Entry of new risk 	Failure to: Secure insurance - as a result of the current provider not offering renewal terms, with effect from 1st April 2018 (Public Liability, Employers Liability, Engineering Insurance and Fidelity Guarantee),	Following discussion at IMM Dec 2017
7.17	18/12/17	RPO	C06, C038, C033, C036, C02, C041, C039.	<ul style="list-style-type: none"> Update items listed 	Update existing & developing controls	
7.18	8/01/18	RPO	C044	Add new risk	“Failure of the force to deliver operational effectiveness”.	Following discussion at IMM Jan 2018
7.18	8/01/18	RPO	C01	<ul style="list-style-type: none"> Govt funding 	Amend wording of risk to better reflect current situation regarding Govt Grant / funding formula.	Following discussion at IMM Jan 2018
7.18	08/01/2018	RPO	C033	<ul style="list-style-type: none"> Financial Loss 	Add “impact on service delivery” to consequences and note the reduced ability to rely on reserves to mitigate any significant loss, within Existing Controls section . Plus correct error in Consequences section, made at time of converting document from Excel to Word format. (V7.1)	Following discussion at Jan 2018 IMM
7.18	08/01/2018	RPO	C040	<ul style="list-style-type: none"> Commercial contract 	Amend wording of risk to include “deliver”.	Following discussion at Jan 2018 IMM

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RISK REGISTER: CHANGE LOG (Showing changes made since 01/01/2017)

No	Date	Author	Risk URN	Item	Changes	Comments
7.18	08/01/2018	RPO	C043	<ul style="list-style-type: none"> Insurance risk 	Amend wording of risk to include "on appropriate terms"	Following discussion at Jan 2018 IMM
7.19	20/3/2018	RPO	<ul style="list-style-type: none"> C06 C036 	<ul style="list-style-type: none"> OPCC resilience Blue light 	<ul style="list-style-type: none"> Reduce current risk score from 12 to 2x4 = 8 and update controls based on new roles commencing in OPCC. Amend wording of developing controls relating to blue light project management 	Following review with CEO 22/3/18
7.19	21/3/2018	RPO	<ul style="list-style-type: none"> C037 C038 	<ul style="list-style-type: none"> Chief officer team resilience Service commissioning 	<ul style="list-style-type: none"> Reduce risk score from 16 to 3x4 = 12 and update controls Reduce risk score from 12 to 9 3x3 = 9 – and update controls 	Following review with CEO 22/3/18
7.19	22/3/2018	RPO	<ul style="list-style-type: none"> C041 C044 	<ul style="list-style-type: none"> Regional collaborations Force operational effectiveness 	<ul style="list-style-type: none"> Increase risk score from 9 to 3x4 =12 Amend developing controls to include process of police and crime plan being mapped to operational delivery plan. 	Following review with CEO 22/3/18
7.19	28/3/2018	RPO	<ul style="list-style-type: none"> C043 	<ul style="list-style-type: none"> Insurance provision 	<ul style="list-style-type: none"> Secured replacement insurance contract - to commence from 1 April 2018. Reduced risk to target score Risk to be archived at next update. 	Following review with DCFO 27/3/18
7.20	4 / 6 / 2018	RPO	<ul style="list-style-type: none"> C043 C033 C02 	<ul style="list-style-type: none"> Insurance provision Financial loss Efficiencies & VfM 	<ul style="list-style-type: none"> Risk removed from the register and archived.- plus amended exec summary to align with its removal. Words "major incident" removed from risk description Target risk score amended to Amber 8 (L2x 4) 	Following comments at April 2018 JIAC.
7.21	2/7/2018	RPO	<ul style="list-style-type: none"> C036 C041 C042 ALL 	<ul style="list-style-type: none"> Blue light objectives Regional collaborations GDPR Legislation. ALL RISKS Exec summary 	<ul style="list-style-type: none"> Reduce risk score to 3x4 from 4x4 & Update existing and developing controls regarding – programme objectives relating to 'operational collaboration' Increase current risk score to max 4X4 following Notts withdrawal from EMOpSS Adjust wording of risk to reflect 'compliance' with new legislation – (legislation in place since May 25 2018). Refresh of 'existing' and 'developing controls' – based on current information. Update 'Exec Summary' in Register to reflect current status. 	Including comments made at IMM 21 June 2018
7.22	14/8/2018	RPO	<ul style="list-style-type: none"> C06 C01 C037 C039 C042 C033 	<ul style="list-style-type: none"> OPCC resilience Funding Chief Officers Local Partners GDPR Financial loss 	<ul style="list-style-type: none"> Refresh existing controls and developing controls for all listed risks based on current information – Plus rewording of risk C042 to focus on effective <u>compliance</u> rather than preparation as GDPR legislation came into effect on 25 May 2018. Check 'Exec Summary' for accuracy, to ensure it reflects current status of all risks. 	Routine updating of register content.
7.23	16/08/2018	RPO	<ul style="list-style-type: none"> C045 C036 C038 C040 	<ul style="list-style-type: none"> Property disposal Blue light programme Service commissioning capacity Current commercial contract 	<ul style="list-style-type: none"> Add new risk relating to failure to obtain VFM from disposal of west parade police station (Lincoln City) Update developing controls for 3 risks. 	Following OPCC management meeting on 15 August 2018.

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RISK REGISTER: CHANGE LOG (Showing changes made since 01/01/2017)

No	Date	Author	Risk URN	Item	Changes	Comments
7.24	15/10/2018	RPO	<ul style="list-style-type: none"> • C046 • C040 • C038 • C037 • C036 • C02 • C06 • ALL 	<ul style="list-style-type: none"> • Containing expenditure • Contract transition • Commissioning capacity • Chief officer team stability • Blue Light Programme • Achieve efficiencies / VfM • Lack of capacity OPCC • Executive summary 	<ul style="list-style-type: none"> • Add new risk – “Failure to: contain expenditure within available resources” • Add new developing control • Change direction of risk • Reduce current risk score to AMBER 8 from RED 12 • Change direction of risk and remove “loss of Grant” from risk consequences • Increase current risk score from RED 12 to 16 (increased likelihood) • Move ‘developing controls’ to ‘existing controls’ following staff appointments • Update executive summary to match content of the register V7.24 (16/10/2018) 	<p>Following OPCC management meeting on 15 October 2018 and PCSB on 16th October.</p>