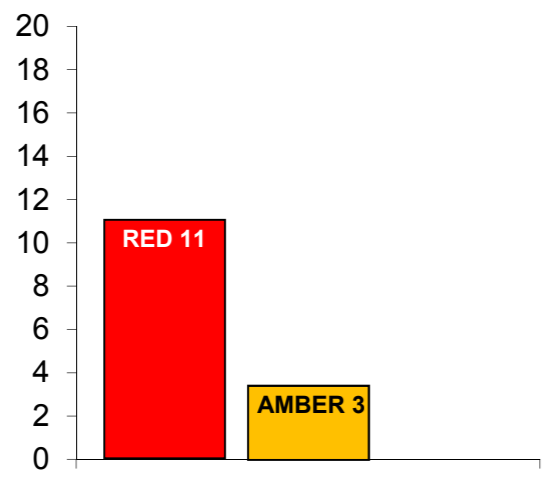
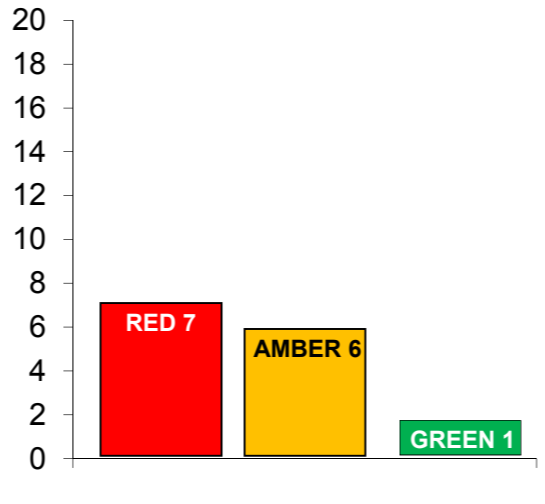


**POLICE AND CRIME COMMISSIONER FOR LINCOLNSHIRE  
RISK REGISTER  
EXECUTIVE SUMMARY**

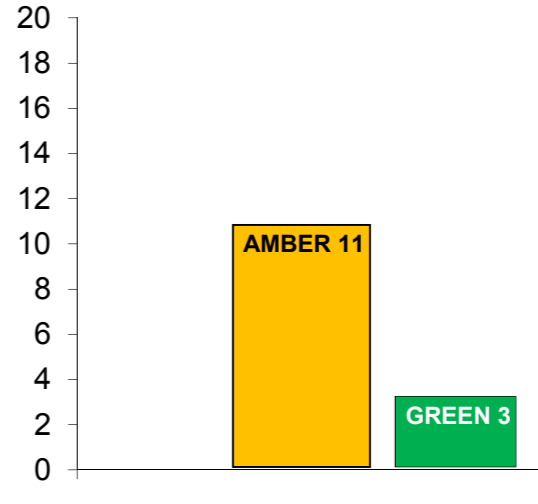
**INHERENT EXPOSURE (Number of Risks)**



**CURRENT EXPOSURE (Number of Risks)**



**TARGET EXPOSURE (Number of Risks)**



**INHERENT EXPOSURE (Risk Distribution)**

LIKELIHOOD	4	A	A	R	R 4
	3	G	A	A 2	R 7
	2	G	A	A	A 1
	1	G	G	G	A
		1	2	3	4
IMPACT					

**CURRENT EXPOSURE (Risk Distribution)**

LIKELIHOOD	4	A	A	R	R 3
	3	G	A	A 3	R 4
	2	G	A	A	A 2
	1	G	G	G 1	A 1
		1	2	3	4
IMPACT					

**TARGET EXPOSURE (Risk Distribution)**

LIKELIHOOD	4	A	A	R	R
	3	G	A	A 1	R
	2	G	A 1	A 4	A 2
	1	G	G	G 3	A 3
		1	2	3	4
IMPACT					

ID	RISK DESCRIPTIONS	SPONSOR	Current Rating	ID	RISK DESCRIPTIONS	SPONSOR	Current Rating
1	Failure to Ensure that G4S objectives are delivered (with available resources)	PCC (CFO)	Amber	9	Ineffective relationships with Local Partners (Inc LCC, District Councils, Health services, Fire/ Ambulance)	PCC (CE)	Amber
2	Lack of Capacity & Resilience in the Office of Police and Crime Commissioner	PCC (CE)	Amber	10	Failure to plan for effective transition from current Commercial Contract arrangements.(Contract ends in 2021/22)	PCC (CE)	Red
3	Failure to persuade Government of Lincolnshire's case for a fairer share of national funding, including lack of recognition of the rural costs in the formula; compounded by potential budget cuts presented by HM Treasury in next spending review period (2014/15 – 2017/18)	PCC (CFO)	Red	11	Failure to maintain or develop beneficial regional collaborations. (Following significant changes in regional leadership landscape - PCCs and Chief Officers)	PCC (CE)	Red
4	Failure to achieve and demonstrate efficiencies, value for money (VfM) and optimum workforce productivity	PCC (CE)	Red	12	Failure to prepare for and effectively implement the New UK Data Protection Act (GDPR & LED) by 25 May 2018	PCC (CE)	Amber
5	Failure to deliver objectives of Tri-service "Blue Light Programme".	PCC (CE)	Red	13	Failure to: Secure insurance with effect from 1st April 2018 - (Public Liability, Employers Liability, Engineering Insurance and Fidelity Guarantee), as a result of the current provider not offering renewal terms.	PCC (CFO)	Green
6	Financial loss (default on investments, bad debt, major incident)	PCC (CFO)	Amber	14	Failure of the Force to deliver operational effectiveness	PCC (CE)	Red
7	"Resilience & Instability in Force Chief Officer team (Recent significant changes in Chief Officer team)	PCC (CE)	Red				
8	Lack of effective service commissioning capacity (limited OPCC capacity / capability to deliver full service)	PCC (CE)	Amber				

# RISK REGISTER

## POLICE & CRIME COMMISSIONER FOR LINCOLNSHIRE

**OBJECTIVES**  
 A. INTERNAL  
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 C. EXTERNAL DELIVERY  
 D. COLLABORATION

NOT PROTECTIVELY MARKED

ID	Unique Ref No.	Linked to Objective	Description of Risk		Risk Sponsor	Inherent Risk Score 1:Low 4:High		Overall Inherent Risk Score	Existing Controls	Owner (the do-er)	Current Risk Score 1:Low 4:High		Current Risk Score	New/Developing Controls (Inc any Dates)	Owner (responsible for controls)	Target Risk Score 1:Low 4:High		Overall Target Risk Score	Review Date
			Source (Lack of... Failure to...)	Consequences (Results in ... Leads to ...)		Likelihood	Impact				Likelihood	Impact				Likelihood	Impact		
1	C09	A B C	Failure to ensure that <b>G4S objectives</b> are delivered (with available resources)	<ul style="list-style-type: none"> <li>• Policing and crime objectives not achieved</li> <li>• Public confidence in PCC, force and criminal justice partners is undermined.</li> <li>• Ineffective allocation of funding and issuing of crime and disorder reduction grants</li> <li>• poor use of resources</li> <li>• Negative media and reputational consequences regarding the public's trust and confidence in policing.</li> </ul>	CFO	4	4	16	<ul style="list-style-type: none"> <li>• Ongoing OPCC attendance at Performance and Delivery (P&amp;D) Board - oversight of risks.</li> <li>• Contract refresh (performance/financial model). CPT Manager to devise planning timeline and planning assumptions (reduce contract by £2M/annum by Sept 2015 and deliver further savings by April 2016),</li> <li>• G4S 10 year contract (SDA) in place, inc Governance framework, Commercial Partnership team (CPT) i to monitor performance and delivery of contract</li> <li>• Risk management - CPT Risk register reviewed via P&amp;D Board - escalation process in place if any serious risks are identified</li> <li>• Monthly Transactional Management board in place</li> <li>• Performance management of contract/SKPIs/KPIs/PIs/MIs</li> <li>• Internal Audit Programme</li> <li>• G4S Programme Director in place.</li> </ul>	CPT & CFO & CE	2	4	A 8 ↓	<ul style="list-style-type: none"> <li>• Watching brief: stability G4S leadership.</li> <li>• G4S Dialogue</li> <li>• Contract review scheduled</li> <li>• G4S Integrated support strategy 2017 - 2020 (awaiting ratification)</li> <li>• Review of contractual performance indicators being planned (Finance and CPT)</li> </ul>	CE & CFO	2	3	6	OPCC Management Meeting
2	C06	A B C D	<b>Lack of Capacity &amp; Resilience</b> in the Office of Police and Crime Commissioner	<ul style="list-style-type: none"> <li>• Instability, lack of leadership in short term and need to invoke contingency plans (through Police and Crime Panel)</li> <li>• Inability to achieve objectives stated in Police and Crime Plan</li> <li>• Individual and team is vulnerable</li> <li>• Capacity and capability related performance issues.</li> <li>• Negative media coverage / reputational consequences (trust and confidence in PCC undermined)</li> </ul>	PCC (CE)	3	4	12	<ul style="list-style-type: none"> <li>• OPCC Policies and procedures Inc continuity plan.</li> <li>• Scheme of arrangements (CE)</li> <li>• Police and Crime Panel in place with procedures as required.</li> <li>• Guidance on the occurrence of a vacancy/absence, incapacity or suspension in OPCC</li> <li>• DCFO appointed April 2015</li> <li>• Admin Apprentice appointed - Jan 2017</li> <li>• Contract in place for Comms and Media support services to PCC - Dec 2016 – 2 x new Interns recruited July 2017 - start date Sept 2017. (recurring)</li> <li>• Collaborative work with Partners on Mental Health Strategy - (LPFT).</li> <li>• Full time CFO appointed to the force releasing some DCFO capacity for OPCC.</li> <li>• Use of external contractors eg creation of Victims Strategy by 'Inspiration' consultancy</li> <li>• Secondment of NHS strategic manager for Mental Health strategy creation (Sept 2017).</li> <li>• EM Regional PCC's secretariat established – (Jan 2018)</li> <li>• Partnerships and Delivery Manager &amp; Officer roles established Jan - March 2018).</li> </ul>	CE	2	4	A 8 ↓		CE	3	3	9	OPCC Management Meeting

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			Source (Lack of... Failure to...)	Consequences (Results in ... Leads to ...)		Likelihood	Impact				Likelihood	Impact				Likelihood	Impact		
3	CO1	A B C D	Failure of Government to address Lincolnshire's case for a <b>fairer share of national funding</b> , including lack of recognition of the rural costs in the formula.	<ul style="list-style-type: none"> <li>increased pressure on local council tax precept</li> <li>And/or resulting in the PCC's inability to provide sufficient resources to deliver services.</li> </ul>	PCC (CFO)	4	4	16	<ul style="list-style-type: none"> <li>Home Secretary letters (PCC/CC), response &amp; letters of support</li> <li>Policing Minister visit, HS visit, civil servants visits</li> <li>Internal audit Financial management inc budget management (Amber/Green) April 2015</li> <li>CC report 'Structure of Police Finance' - informing the debate (July 2015)</li> <li>NOTE: HO Consultation on Formula Funding (Summer 2015). Deadline 15 Sept 2015.</li> <li>Representation at HO events (Aug and Sept).</li> <li>Respond to consultations (government reviews of formula).</li> <li>National Audit Office visit as part of Home Office audit (visit Feb 2015, publish June 2015) (VfM study looking at the financial sustainability of police forces).</li> <li>2016 HMIC PEEL Efficiency report recognises Lincolnshire's funding / efficiency situation.</li> <li>Policing Minister Visit to Force (Sept 2017)</li> </ul>	CC/ CFO CE	4	4	R 16 	<ul style="list-style-type: none"> <li>Funding formula being revisited by HO - recognition of unfairness of current formula.</li> <li>New approach to Reviewing funding formula put in place by Policing Minister.</li> <li>Participation in Funding formula review as member of national technical reference group.</li> <li>Participation in national (evidence based) response to HO regarding review of funding formula.</li> </ul>	CFO	2	4	8	I OPCC Management Meeting
4	CO2	A B C D	Failure to achieve and demonstrate <b>efficiencies, value for money (VfM)</b> and optimum workforce <b>productivity</b>	leading to: <ul style="list-style-type: none"> <li>PCC's inability to provide sufficient resources to deliver policing services</li> <li>Negative reputational consequences through media coverage.</li> <li>deterioration in performance</li> <li>poor use of resources</li> <li>Effectiveness and efficiency of policing in Lincolnshire is reduced or not improved.</li> </ul>	PCC (CE)	4	4	16	<ul style="list-style-type: none"> <li>Savings Tracker/Resources Gov Meeting</li> <li>VfM Strategy</li> <li>HMIC - PEEL EFFICIENCY Nov 2017 inspection grade = "requires improvement".</li> <li>Int Audit Nov 2016- overtime / time recording = 'Satisfactory'</li> <li>Int Audit June 2016- Payroll = 'Satisfactory'.</li> <li>Robust procurement process / arrangements in place</li> <li>VfM / Efficiency plan 2017/18 - in place Feb 2017.</li> <li>KPMG Audit includes VfM conclusion / opinion.</li> <li>Benefits realisation strategy in place April 2017</li> </ul>	CE & CFO	3	4	R 12 	<ul style="list-style-type: none"> <li>Internal audit plan</li> <li>New 'change board' and "Senior management board" introduced by force with remit to monitor and manage benefits realisation elements of work.</li> <li>Force engaged with CIPFA benchmarking programme.</li> <li>Benefits realisation to be included in business case templates (Nov 2017)</li> </ul>	CFO & DCC	2	2	4	OPCC Management Meeting

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			Source (Lack of... Failure to...)	Consequences (Results in ... Leads to ...)		Likelihood	Impact				Likelihood	Impact				Likelihood	Impact		
5	C036	A B C D	Failure to deliver objectives of Tri-service "Blue Light Programme".	Leading to • Loss of grant (Police Innovation Fund) • Negative reputational consequences • Negative impact on Tri-service relationship • Negative impact on delivery of Police, Fire & Rescue and Ambulance services.	PCC (CE)	3	4	16	<ul style="list-style-type: none"> <li>Formal project management methodology applied (Prince II)</li> <li>Governance structure (monitoring &amp; oversight) Inc Finance</li> <li>Full Business Case</li> <li>Heads of terms agreement</li> <li>Collaboration agreement</li> <li>Specialist role to monitor project costs (1/2 day per week utilising existing staff - till march 2018)</li> <li>PCC regular 1-2-1 meetings with BL programme director.</li> <li>Tri-service governance structure - (Steering Group / Programme Board, / Project Group)</li> <li>Links between Tri-service partners and key stakeholders</li> <li>Organisational decision making processes</li> </ul>	CE/ CFO FCFO PCC	4	4	R 16 ↔	<ul style="list-style-type: none"> <li>Full grant allowance provided in 16-17 - (not spending in line with 2 yr grant period across 3 yr project. Request made to the HO to have the same dispensation for 2017/18).</li> <li>Need for continuing role of Blue Light project manager – approach not yet agreed with the Force (since November 2017)</li> <li>Request made to re-profile grant contingency allowance.</li> <li>Steering group discussions relating to concerns regarding escalating costs.</li> </ul>	CE / CFO & FCFO	2	4	8	OPCC Management Meeting
6	C033	A B C D	<b>Financial loss</b> (default on investments, bad debt, major incident)	Leading to • Negative impact on service delivery • Reduced spend and inability to respond to operational demands • Negative reputational consequences	PCC (CFO)	2	4	8	<ul style="list-style-type: none"> <li>Reserves policy (reduced facility to use reserves to mitigate any risk of financial loss 2018/19)</li> <li>Treasury Management strategy</li> <li>Internal Audit / review 'Cash, Banking and Treasury Management'</li> <li>Financial governance reporting to JIAC inc TM</li> <li>Treasury management plan 2015/16 part of Financial Strategy.</li> <li>Audit of online banking / investment setup - Conclusion - Significant assurance (July 2017)</li> </ul>	CFO RPO	1	4	A 4 ↔		CC	1	4	4	OPCC Management Meeting
7	C037	A B C D	<b>Resilience &amp; Instability in Force Chief Officer team</b> (Recent significant changes in Chief Officer team)	<ul style="list-style-type: none"> <li>Ineffective leadership - in short term</li> <li>Inability to achieve operational &amp; strategic objectives</li> <li>Capacity and capability related performance issues.</li> <li>Trust and confidence is undermined - (internal &amp; external)</li> <li>Deterioration in relationship with strategic partners / stakeholders - ( Commercial partner (G4s) / statutory partners)</li> <li>Deterioration in strategic / governance relationship with OPCC.</li> <li>Lack of clarity around strategic Objectives</li> <li>Lack of force vision</li> <li>Sub-optimal use of resources</li> </ul>	CE	4	4	16	<ul style="list-style-type: none"> <li>Guidance on the occurrence of a vacancy/absence, incapacity or suspension of Chief Officers.</li> <li>Temporary ACC in place - Internal promotion</li> <li>CC Scheme of delegation</li> <li>Contingency planning / Business continuity plans.</li> <li>Governance structure</li> <li>New ACO Resources appointed - commenced 19 May 2017.(2 Year Contract)</li> <li>Permanent DCC appointed Feb 2018</li> <li>Chief of staff appointed Feb 2018</li> </ul>	CC	3	4	R 12 ↓	<ul style="list-style-type: none"> <li>Temporary promotion plan in place for Chief Officer Group to cover period awaiting full time recruitment process.</li> </ul>	CC	1	4	4	OPCC Management Meeting

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			Source (Lack of... Failure to...)	Consequences (Results in ... Leads to ...)		Likelihood	Impact				Likelihood	Impact			Likelihood	Impact		
8	C038	A B C D	<b>Lack of effective service commissioning capacity</b> <i>(Limited OPCC capacity / capability to deliver full service commissioning lifecycle)</i>	<ul style="list-style-type: none"> <li>Ineffective leadership - in short term</li> <li>Inability to achieve operational &amp; strategic objectives</li> <li>Capacity and capability related performance issues.</li> <li>Trust and confidence is undermined - (internal &amp; external)</li> <li>Deterioration in relationship with strategic partners / stakeholders - ( Commercial partner (G4s) / statutory partners)</li> <li>Deterioration in strategic / governance relationship with OPCC.</li> <li>Lack of clarity around strategic Objectives</li> <li>Lack of force vision</li> <li>Sub-optimal use of resources</li> </ul>	CE	3	4	12	<ul style="list-style-type: none"> <li>Current governance structure</li> <li>Current management structure</li> <li>Use of external consultants / seconded managers, in creation of new strategy and elements of commissioning process (eg Mental Health Strategy - Oct 17- Jan 2018)</li> <li>Outsourcing elements of commissioning cycle to specialist external providers - (e.g. Victims Strategy April 2017).</li> <li>EM Regional PCC's secretariat established – (Jan 2018)</li> <li>Partnerships and Delivery Manager &amp; Officer roles established Jan - March 2018).</li> </ul>	CE/ CC	3	3	A 9 ↓	CE	1	4	4	OPCC Management Meeting
9	C039	B C D	<b>Ineffective relationships with Local Partners</b> <i>(Inc LCC, District Councils, Health services, Fire/ Ambulance)</i>	<ul style="list-style-type: none"> <li>Delayed or protracted decision making</li> <li>Inability to progress community safety priorities</li> <li>Disengagement and inability to deliver joint objectives and outcomes for the public</li> <li>Trust and confidence is undermined - (internal &amp; external)</li> <li>Lack of strategic alignment</li> <li>inability to effectively manage interdependencies</li> <li>Sub-optimal use of resources</li> </ul>	CE	3	3	9	<ul style="list-style-type: none"> <li>Existing Governance structure</li> <li>Existing relationships.</li> <li>Collaborative agreements in place (e.g. Blue light programme)</li> <li>PCC Vice chair of CSP</li> <li>Partnerships and Delivery Support Officer in place from 2 Jan 2018.</li> </ul>	PCC / CE	3	3	A 9 ↔	PCC & CE	1	3	3	OPCC Management Meeting



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			Source (Lack of... Failure to...)	Consequences (Results in ... Leads to ...)		Likelihood	Impact				Likelihood	Impact				Likelihood	Impact		
10	C040	B C D	<b>Failure to plan for and deliver effective transition from current Commercial Contract arrangements.</b> (Contract ends in 2021/22)	<ul style="list-style-type: none"> <li>Deterioration of relationship with partners &amp; key stakeholders</li> <li>Inability to achieve operational &amp; strategic objectives</li> <li>ineffective and inefficient service delivery model</li> <li>Trust and confidence is undermined - (internal &amp; external)</li> <li>Deterioration in strategic / governance relationship with OPCC.</li> <li>Lack of clarity around strategic Objectives</li> <li>Negative financial impact (increased and consequential costs)</li> <li>Sub-optimal use of resources</li> </ul>	CE	3	4	12	<ul style="list-style-type: none"> <li>Contractual review arrangements in place</li> <li>Governance structure / processes</li> <li>Relationship with commercial partner</li> </ul>	CE / CC	3	4	R 12 ↔	<ul style="list-style-type: none"> <li>Further Review meeting (OPCC/Force) Scheduled for "Early summer" 2018.</li> <li>Planning underway to ensure appropriate resources allocated to the review process.</li> </ul>	CE CFO	2	3	6	OPCC Management Meeting
11	C041	A B C D	<b>Failure to maintain or develop beneficial regional collaborations.</b> (Following significant changes in regional leadership landscape - PCCs and Chief Officers)	<ul style="list-style-type: none"> <li>Ineffective relationships with regional forces</li> <li>Inability to maintain resilience of operational services including Strategic Policing Requirement (SPR)</li> <li>Negative financial implications - reduced savings / increased costs.</li> <li>Trust and confidence is undermined - (internal &amp; external)</li> <li>Diminished capability to meet operational demand.</li> <li>Negative media coverage - reputational damage.</li> <li>Lack of clarity around strategic Objectives</li> <li>Lack of force vision</li> <li>Sub-optimal use of resources</li> </ul>	CC	3	4	12	<ul style="list-style-type: none"> <li>Regional governance structure.</li> <li>Section 22 Agreements' in place</li> <li>Internal Audit reporting to include regional audits (reporting to JIAC from July 27 2017</li> <li>MJ Chair of regional PCC Business Management group (From May 2017)</li> <li>Creation of Regional Secretariat (for PCCs) 3 new roles recruited – during November 2017- including Regional Collaboration Manager, Analyst, and Meetings administrator – established Jan-Mar 2018.</li> </ul>	PCC / CE	3	4	R 12 ↑	<ul style="list-style-type: none"> <li>Changes in configuration of regional collaboration – review / planning underway.</li> </ul>	CE PCC CFO	2	3	6	OPCC Management Meeting
12	C042	A B C D	<b>Failure to: Prepare for and effectively implement the New UK Data Protection Act</b> incorporating EU directives -General Data Protection Regulations (GDPR) and the Law Enforcement Directive (LED) - Applies from 25 May 2018.	<ul style="list-style-type: none"> <li>Breach of legislation</li> <li>Unlawful / inappropriate processing of data</li> <li>Financial penalty - potentially significant amount.</li> <li>Reputational damage</li> <li>Negative media coverage</li> </ul>	CE	3	4	12	<ul style="list-style-type: none"> <li>Existing data control processes (MOPI etc)</li> <li>ICO Preparedness Self- assessment completed (IMU)</li> <li>National DPA reform working group –Police specific advice.</li> <li>ICT / IMU governance structure.</li> <li>Commercial partnership governance structure.</li> </ul>	Ho - IMU	3	3	A 9 ↔	<ul style="list-style-type: none"> <li>Active monitoring of legislative development</li> <li>Assessment of implications for Victims Services included in Preparations</li> <li>OPCC in discussions with force / IMU regarding provision of Data Protection Officer role.</li> </ul>	CE	1	3	3	OPCC Management Meeting

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			Source (Lack of... Failure to...)	Consequences (Results in ... Leads to ...)		Likelihood	Impact				Likelihood	Impact			Likelihood	Impact			
13	C043	A B C D	<b>Failure to: Secure insurance on appropriate terms - with effect from 1st April 2018</b> as a result of the current provider not offering renewal terms. (Public Liability, Employers Liability, Engineering Insurance and Fidelity Guarantee),	<ul style="list-style-type: none"> <li>Rise in cost of new insurance cover.</li> <li>Breach of Section 151 responsibility for insurance cover</li> <li>Cost involved in payment of any claims against organisation (self-Insurance)</li> </ul>	CFO	3	3	9	<ul style="list-style-type: none"> <li>Existing procurement framework (through which to obtain new policy)</li> <li>Force CFO met with Insurance Broker – discuss implications</li> <li>Contingency plan prepared ie brief period of self-insurance.</li> <li>Request made of current provider for short extension to period of insurance to allow procurement of new policy.</li> <li><b>New contract for Insurance awarded March 2018. (Risk to be Archived)</b></li> </ul>	CFO / FCFO	1	3	G 3 ↓	CFO / DCFO	1	3	3	Internal Management Meeting	
14	C044	ABCD	<b>Failure of: The force to deliver operational effectiveness.</b>	<ul style="list-style-type: none"> <li>Rising trend of recorded crime in Lincolnshire.</li> <li>Declining trend of “positive outcomes”</li> <li>Declining trend in public satisfaction with the Force</li> <li>Lack of confidence in Lincolnshire police</li> <li>Reputational damage – linked to public perception of “high level of crime / poor outcomes / responsiveness of the force”.</li> </ul>	CE	4	4	16	<ul style="list-style-type: none"> <li>Governance Framework (Force and OPCC / Police and Crime Panel)</li> <li>Force strategic plan – “Distinctively Lincolnshire”</li> <li>Force Performance management processes (tactical tasking)</li> <li>Performance specific monitoring / analysis &amp; reporting processes (Force / OPCC)</li> <li>Current Police and Crime Plan</li> <li>Partnership working</li> <li>Regional Collaborative service delivery</li> <li>Force Delivery plan manager appointed (Dec 2017).</li> </ul>	CC/ PCC/ CE	3	4	R 12 ↑	<ul style="list-style-type: none"> <li>Development of operational delivery plans - in support of new Force strategic plan. (Commenced Dec 2017).</li> <li>Prevention initiatives eg extension of ARC remit and activity.(ongoing)</li> <li>Secondment of Chief Supt to LCC as Deputy Director of Community Safety (Inc crime prevention agenda)</li> <li>Mapping of Police and crime plan objectives to force Operational delivery plan - planned.</li> </ul>	CC / PCC / CE	2	3	6	Internal Management Meeting

## SCORING MATRIX & DIRECTION OF TRAVEL

Likelihood		Impact			
		Low 1	Medium 2	High 3	Very High 4
Very High	4	AMBER 4	AMBER 8	RED 12	RED 16
High	3	GREEN 3	AMBER 6	AMBER 9	RED 12
Medium	2	GREEN 2	AMBER 4	AMBER 6	AMBER 8
Low	1	GREEN 1	GREEN 2	GREEN 3	AMBER 4

Risk Appetite is defined by thick black line between Amber and Red risk scores

## LIKELIHOOD

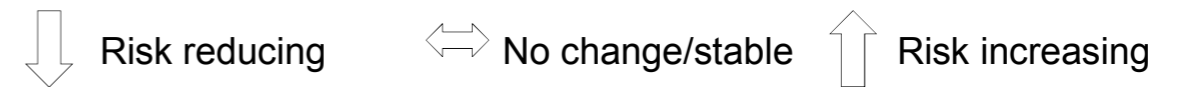
	Score	Description
Very High	4	More than a 75% chance of occurrence.
High	3	More than a 50% chance of occurrence.
Medium	2	More than a 25% chance of occurrence.
Low	1	Less than a 25% chance of occurrence.

## IMPACT

Score	Performance/ Service Delivery	Finance /Efficiency £	Confidence/ Reputation	Health & Safety	Environment	Strategic Direction
Very High 4	Major disruption to service delivery  Major impact on performance indicators noticeable by stakeholders	Overall >1,000,000  Business Area >150,000	Major stakeholder/investigations /longer lasting community concerns  Major reputational damage adverse national media coverage	Death or a life changing injury	Very high negative environmental impact (high amount of natural resources used, pollution produced, biodiversity affected)	Major impact on the ability to fulfil strategic objective
High 3	Serious disruption to service delivery  Serious impact on performance indicators noticeable by stakeholders	Overall 251,000-1,000,000  Business Area 41,000-150,000	Serious stakeholder/investigations /prolonged specific section of community concerns  Serious reputational damage adverse national media coverage	An injury requiring over 24-hours hospitalisation and /or more than 3 days off work or a major injury as defined by the RIDDOR <sup>10</sup> Regs	High negative environmental impact (medium amount of natural resources used, pollution produced, biodiversity affected)	Serious impact on the ability to fulfil strategic objective
Medium 2	Significant disruption to service delivery  Noticeable impact on performance indicators	Overall 51,000-250,000  Business Area 11,000-40,000	Significant investigations/specific section of community concerns  Significant reputational damage adverse local media coverage	An injury requiring hospital/professional medical attention and/or between one day and three days off work with full recovery	Medium negative environmental impact (low amount of natural resources used, pollution produced, biodiversity affected)	Significant impact on the ability to fulfil strategic objective
Low 1	Minor disruption to service delivery  Minor impact on performance indicators	Overall <50,000  Business Area <10,000	Complaints from individuals  Minor impact on a specific section of the community	An injury involving no treatment or minor first aid with no time off work	Low negative environmental impact (limited amount of natural resources used, pollution produced, biodiversity affected)	Minor impact on the ability to fulfil strategic objective

## Direction of Travel

(Overall judgement based on the controls in place/planned)





**POLICE AND CRIME COMMISSIONER FOR LINCOLNSHIRE**

**RISK REGISTER: CHANGE LOG (Showing changes made since 01/01/2017)**

No	Date	Author	Risk URN	Item	Changes	Comments
7.19	20/3/2018	RPO	<ul style="list-style-type: none"> <li>C06</li> <li>C036</li> </ul>	<ul style="list-style-type: none"> <li>OPCC resilience</li> <li>Blue light</li> </ul>	<ul style="list-style-type: none"> <li>Reduce current risk score from 12 to 2x4 = 8 and update controls based on new roles commencing in OPCC.</li> <li>Amend wording of developing controls relating to blue light project management</li> </ul>	Following review with CEO 22/3/18
7.19	21/3/2018	RPO	<ul style="list-style-type: none"> <li>C037</li> <li>C038</li> </ul>	<ul style="list-style-type: none"> <li>Chief officer team resilience</li> <li>Service commissioning</li> </ul>	<ul style="list-style-type: none"> <li>Reduce risk score from 16 to 3x4 = 12 and update controls</li> <li>Reduce risk score from 12 to 9 3x3 = 9 – and update controls</li> </ul>	Following review with CEO 22/3/18
7.19	22/3/2018	RPO	<ul style="list-style-type: none"> <li>C041</li> <li>C044</li> </ul>	<ul style="list-style-type: none"> <li>Regional collaborations</li> <li>Force operational effectiveness</li> </ul>	<ul style="list-style-type: none"> <li>Increase risk score from 9 to 3x4 =12</li> <li>Amend developing controls to include process of police and crime plan being mapped to operational delivery plan.</li> </ul>	Following review with CEO 22/3/18
7.19	28/3/2018	RPO	C043	<ul style="list-style-type: none"> <li>Insurance risk</li> </ul>	Secured replacement insurance contract to commence from 1 April 2018. Reduced risk to target score <b>Risk to be archived.</b>	Following review with DCFO 27/3/18