

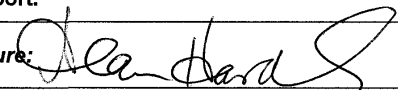
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**POLICE AND CRIME COMMISSIONER (PCC) FOR LINCOLNSHIRE  
REQUEST FOR DECISION**

REF: 014/2013

DATE: 20 May 2013

<b>SUBJECT</b>		<b>CODE OF CORPORATE GOVERNANCE</b>
<b>REPORT BY</b>	<b>CHIEF EXECUTIVE</b>	
<b>CONTACT OFFICER</b>	<b>MALCOLM BURCH, CHIEF EXECUTIVE</b> <b>TELEPHONE 01522 947192</b>	
<b>EXECUTIVE SUMMARY AND PURPOSE OF REPORT</b> To present a draft Code of Corporate Governance for the Police and Crime Commissioner for Lincolnshire.		
<b>RECOMMENDATION</b>	That the Code of Corporate Governance appended to the report be approved.	

<b>POLICE AND CRIME COMMISSIONER FOR LINCOLNSHIRE</b> I hereby approve the recommendation above, having considered the content of this report.  <i>Signature:</i>  <i>Date:</i> 21/05/13
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**A. NON-CONFIDENTIAL FACTS AND ADVICE TO THE PCC**

**1. GOOD GOVERNANCE FRAMEWORK**

1.1 The Chartered Institute for Public Finance and Accountancy (CIPFA) and the Society of Local Authority Chief Executives (SOLACE) Framework 'Delivering Good Governance in Local Government' published in 2007 sets the standard for governance in local government in the UK. The Framework is intended to assist local government bodies develop and shape an informed approach to governance and to achieve the highest standards in a measured and proportionate way.

**2. CODE OF CORPORATE GOVERNANCE**

2.1 The Framework outlines six core principles of good governance and translates each one into a range of specific requirements that should be reflected in a local Code of Corporate Governance.

2.2 The six core principles are:

- Focussing on the purpose of the Police and Crime Commissioner (“the Commissioner”) and on outcomes for the community and creating and implementing a vision for the local area.
  - Leaders, Officers and Partners working together to achieve a common purpose with clearly defined functions and roles.
  - Promoting values for the Commissioner and demonstrating the values of good governance through upholding high standards of conduct and behaviour.
  - Taking informed and transparent decisions which are subject to effective scrutiny and managing risk
  - Developing the capacity and capability of the Commissioner, officers of the Commissioner and the Force to be effective
  - Engaging with local people and other stakeholders to ensure robust public accountability
- 2.3 A local Code of Corporate Governance has been drafted which will ensure that the core good governance principles are fully integrated in the conduct of the Commissioner’s business. The draft Code also sets out arrangements for reviewing the effectiveness of the governance framework.
- 2.3 The Commissioner is invited to consider and approve the draft Code of Corporate Governance appended to this report.

**A2. LINKS TO POLICE AND CRIME PLAN AND PCC’S STRATEGIES/PRIORITIES**

None.

**B. FINANCIAL CONSIDERATIONS**

There are no direct financial implications arising from this report.

**C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS**

The Commissioner is under a statutory duty to carry out an annual review of his governance arrangements and to approve a statement of internal control prepared in accordance with “proper practices” - Accounts & Audit Regulations 2003 as amended.

“Proper practices” are not defined in the regulations, but in circular 03/2006 the Department for Communities & Local Government indicated that compliance with CIPFA/SOLACE guidance would satisfy the requirement for proper practices.

**D. PERSONNEL AND EQUALITIES ISSUES**

The annual review of the Code of Corporate Governance will allow the Commissioner to demonstrate how effective its equality and diversity

arrangements are to the governance of the organisation. Should any gaps be identified as part of the annual assessment process these may be subject to an equalities impact assessment.

As part of its governance framework the Commissioner has various policies on employees and employers' rights in the workplace. These will be kept under regular review to ensure that they are fit for purpose for those occasions when the aspirations in the Code of Corporate Governance are not met.

**E. REVIEW ARRANGEMENTS**

The Code of Corporate Governance will be reviewed annually.

**F. RISK MANAGEMENT**

The Code of Corporate Governance is a key aspect of robust and effective governance arrangements. Without adequate corporate governance arrangements (which are reviewed regularly), the Commissioner is exposed to the following risks:

- resources are not directed in accordance with agreed policy and accordingly to priorities
- decision making is not sound and inclusive
- no clear accountability for the use of those resources in order to achieve desired outcomes for communities
- service delivery is compromised.

The Commissioner currently monitors a non-critical risk: Failure to ensure effective governance, transparency and compliance with statutory duties with effective overview mechanisms. This is currently mitigated with controls and is currently scored as an Amber 4 risk.

**G. PUBLIC ACCESS TO INFORMATION**

Information in this form along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation. Part 1 of this form will be made available on the PCC's website within one working day of approval. However, if release by that date would compromise the implementation of the decision being approved, publication may be deferred. An explanation for any deferment must be provided below, together with a date for publication.

**Is the publication of this form to be deferred? No**

**If Yes, for what reason:**

**Until what date:**

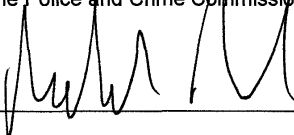
Any facts/advice/recommendations that should not be made automatically available on request should not be included in Part 1 but instead on the separate part 2 form.

<b>Is there a part 2 form?</b> No
<b>If Yes, for what reason:</b>

**ORIGINATING OFFICER DECLARATION**

	Tick to confirm
Originating Officer: The Chief Executive recommends this proposal for the reasons outlined above.	✓
Financial advice: The PCC's Chief Finance Officer has been consulted on this proposal.	✓
The CC's Chief Finance Officer has been consulted on this proposal.	✓
Monitoring Officer: The PCC's Monitoring Officer has been consulted on this proposal	✓
Chief Constable: The Chief Constable has been consulted on this proposal	✓

**OFFICER APPROVAL**

<b>Chief Executive</b>
I have been consulted about the proposal and confirm that financial, legal and equalities advice has been taken into account in the preparation of this report. Consultation outlined above has also taken place. I am satisfied that this is an appropriate request to be submitted to the Police and Crime Commissioner for Lincolnshire.
<b>Signature:</b> 
<b>Date:</b> 21/5/13