

**JOINT INDEPENDENT AUDIT COMMITTEE**  
**23 March 2016**

<b>SUBJECT</b>		<b>POLICE AND CRIME COMMISSIONER AND CHIEF CONSTABLE'S ASSURANCE MAP</b>	
REPORT BY		CHIEF EXECUTIVE & CHIEF FINANCE OFFICER (CFO) CHIEF CONSTABLE & FORCE CHIEF FINANCE OFFICER (FCFO)	
CONTACT OFFICER		AUBREY WILLIAMS, PERFORMANCE OFFICER TEL: 01522 9 47230	RESEARCH AND
<b>SUMMARY AND PURPOSE OF REPORT</b>  To consider the development of the Police and Crime Commissioner and Chief Constable's Joint Assurance Map.			
RECOMMENDATION		<i>That the Committee review the developments of the Joint Assurance Map.</i>	

**A. SUPPORTING INFORMATION**

1. The current 'restricted' section of the assurance map is provided with the Force Risk Register report in the confidential section of this meeting.
2. The map was on the agenda of the Police and Crime Strategic Board (PCSB) on 22 February 2016 and that version is attached at Appendix 1.
3. There are 2 risk areas where there are differences in the overall assurance assessment rating between the OPCC and Force.  
They are:
  - Failure to persuade Government of case for fairer funding
    - PCC: Amber                      Force: Red / Amber
  - Lack of resilience of the PCC and in the OPCC
    - PCC: Green                      Force: Amber
4. Since the 22 February PCSB meeting, further updates and additions are planned to be made to the assurance map including:
  - New entry onto the map - Implementation of HMIC / CJI inspection recommendations.
  - Recent HMIC reports / grading results to be entered and considered in assurance ratings.
5. The Committee is invited to review the assurance map.

**B. FINANCIAL CONSIDERATIONS**

There are resource implications related to some of the mitigating controls. These are managed by the Chief Executive, Chief Finance Officer and Force Chief Officer Group.

**C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS**

Any relevant legal and human rights related risks are included in the PCC/CC's risk register.

**D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES** (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC/CC's risk register.

**E. REVIEW ARRANGEMENTS**

The Risk Register and assurance map will be reviewed by the Joint Independent Audit Committee on a quarterly basis.

**F. RISK MANAGEMENT**

Risk management and assurance is an integral part of the Joint Independent Audit Committee's remit. It is reflected on the Forward Plan accordingly.

**G. PUBLIC ACCESS TO INFORMATION**

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.