

**JOINT INDEPENDENT AUDIT COMMITTEE**  
**9 JUNE 2015**

SUBJECT		POLICE AND CRIME COMMISSIONER AND CHIEF CONSTABLE'S ASSURANCE MAP
REPORT BY	CHIEF EXECUTIVE AND CHIEF FINANCE OFFICER CHIEF CONSTABLE AND FORCE CHIEF FINANCE OFFICER	
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<b>SUMMARY AND PURPOSE OF REPORT</b>  To consider and review the updated Police and Crime Commissioner and Chief Constable's joint Assurance Map.		
RECOMMENDATION	<i>That the Committee review the PCC/CC Assurance Map.</i>	

**A. SUPPORTING INFORMATION**

1. Further progress has been made in relation to developing the Police and Crime Commissioner (PCC) and Chief Constable (CC) Assurance Map.
2. Members will note the 'assessment columns' (see Appendix 1, last 3 columns) have been modified to include a section called 'assurance sufficient?'. This ensures that a view is recorded on the extent to which assurance has been provided in relation to the risk and associated controls (sufficient or insufficient). Where it is agreed that there is insufficient assurance on the effectiveness of the controls, a further column has been added to show additional improvement actions that need to be implemented in order to enable sufficient assurance to be gained.
3. Clarity has also been provided on the definitions (page 7) of the overall assessment.
4. The Assurance Map was included on the Police and Crime Strategic Board agenda on 14 May 2015 in line with the schedule. However, it was not reviewed as all the control evidence had not been updated. The Board did not want to agree levels of assurance based on out of date evidence. The Force is currently updating the Map and it will be considered at the 18 June 2015 meeting.
5. The Map attached at Appendix 1 is the version that was submitted to the Board on 14 May 2015.
6. The 'restricted' section of the Assurance Map has been developed and this section of the Map is reported with the Force Risk Register report in the confidential section of this meeting.

7. The future timings reported at the last JIAC meeting stand.

Chief Officer Group meeting/OPCC Internal Management Meeting review	Police and Crime Strategic Board	JIAC
August 2015	20 August 2015	8 September 2015
October 2015	22 October 2015	24 November 2015
February 2016	18 February 2016	23 March 2016
April 2016	21 April 2016	18 May 2016

8. The Committee is invited to:

- Consider the assurance map to ensure that it adequately addresses the risks and priorities of the PCC/Force.
- Review progress and advise of any actions for improvement

## **B. FINANCIAL CONSIDERATIONS**

There are resource implications related to some of the mitigating controls. These are managed by the Chief Executive, Chief Finance Officer and Force Chief Officer Group.

## **C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS**

Any relevant legal and human rights related risks are included in the PCC/CC's risk register.

## **D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES** (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC/CC's risk register.

## **E. REVIEW ARRANGEMENTS**

The Risk Register and assurance map will be reviewed by the Joint Independent Audit Committee on a quarterly basis.

## **F. RISK MANAGEMENT**

Risk management and assurance is an integral part of the Joint Independent Audit Committee's remit. It is reflected on the Forward Plan accordingly.

## **G. PUBLIC ACCESS TO INFORMATION**

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.