

JOINT INDEPENDENT AUDIT COMMITTEE
9 JUNE 2015

SUBJECT		PCC RISK REGISTER AND RISK MANAGEMENT STRATEGY
REPORT BY	CHIEF EXECUTIVE & CHIEF FINANCE OFFICER	
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SUMMARY AND PURPOSE OF REPORT		
To consider the Police and Crime Commissioner's latest Risk Register and Risk Management Strategy.		
RECOMMENDATION	<i>That the Committee:</i> <i>1. review and monitor the PCC's Risk Register</i> <i>2. consider the PCC's Risk Management Strategy.</i>	

A. SUPPORTING INFORMATION

1. PCC's Risk Register

- 1.1 The top strategic risks that would prevent or distract the Commissioner from achieving his goals are recorded in the PCC Risk Register. The risks have been identified based on the PCC's objectives that are stated in the Police and Crime Plan 2013 – 17. They also link to the Office of the PCC's (OPCC) Operational Delivery Monitoring Plan.
- 1.2 The PCC's Chief Finance Officer and Research and Performance Officer attend the Force's Confidential Risk Management Board and Force Risk Management Board respectively. This ensures that the Force's register and the PCC's register are aligned where appropriate.
- 1.3 The Force Risk Management Board takes place on a quarterly basis and is attended by business area 'single points of contact' from the Force and G4S as appropriate, including the Force Chief Finance Officer. The Confidential Risk Management Board is chaired by the Deputy Chief Constable and is attended by personnel as required.
- 1.4 The PCC's risk register currently records 7 critical risks (those scored at Red 12 and above) and 8 non critical risks (those scored AMBER 9 and below).
- 1.5 The key changes to the register since the Committee's April 2015 are as follows:
 - C09 – Strategic Partner/Partners objectives – change to 'direction of travel' arrow from 'risk increasing' to 'stable'.

- C04 – financial management/governance - change to 'direction of travel' arrow from 'risk increasing' to 'risk decreasing'
- C34 – Victims of Crime – change of score from Red 12 to Amber 9 (direction of travel arrow added).

1.6 The PCC's risk register is attached at **Appendix 1**. Updates are provided in bold type.

1.7 The Risk Management Strategy was reviewed in May 2015 and is attached at **Appendix 2** for the Committee to consider and review. Additions and changes are highlighted in a different colour text.

B. FINANCIAL CONSIDERATIONS

There are resource implications related to some of the mitigating controls. These are managed by the Chief Executive and the Chief Finance Officer.

C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS

Any relevant legal and human rights related risks are included in the PCC's risk register.

D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC's risk register.

E. REVIEW ARRANGEMENTS

The Risk Register will be reviewed by the Joint Committee on a quarterly basis. The Risk Management Strategy will be reviewed on an annual basis and provide linkages to the Annual Governance Statement. The Assurance map will be reviewed by the Committee as required.

F. RISK MANAGEMENT

Risk governance is an integral part of the Joint Independent Audit Committee's remit. It is reflected on the Forward Plan accordingly.

G. PUBLIC ACCESS TO INFORMATION

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.