JOINT INDEPENDENT AUDIT COMMITTEE

SUMMARY ACTION LOG – 3 SEPTEMBER 2014

Ref	Meeting Date	Minute No	Resolution	Actioned by	Progress	Updated
40	5 Jun 2014	20/14	A copy of the Scheme of Governance, including Financial & Contract Regulations, be made available to Members	FCFO	Complete	12 August 2014
41	5 Jun 2014	21/14	An update of the current status of 2013/14 Internal Audit Reports be provided at the next meeting of the JIAC,	Internal Audit	Included in Progress Report Complete	13 August 2014
42	5 Jun 2014	21/14	The Payment & Creditors Report be circulated to Members in view of its "red" status.	CFO/ FCFO	Complete	12 August 2014
43	5 Jun 2014	21/14	Future Progress Reports include the date of fieldwork, to provide a clear indication for Members of when the audit was carried out	Internal Audit	Report amended Complete	13 August 2014
44	5 Jun 2014	22/14	All recommendations be included within the summary report (ongoing and completed), and an additional column be added to the summary table showing the date that each report was presented to the JIAC	DCC	Report amended	12 August 2014
45	5 Jun 2014	24/14	A Working Group be formed comprising the Chairman together with Members as available to review the final draft of the Financial Statements 2013/14 at a meeting on	CFO	Working Group took place on 17 June 2014	12 August 2014

			the 17 June 2014. All members were invited to submit comment in advance of the meeting if they were unable to attend in person.			
46	5 Jun 2014	25/14	External Audit be requested to ensure representation at future JIAC meetings.	CFO	This is being progressed by the CFO. The External Auditors have been verbally updated on the issue	12 August 2014
47	5 Jun 2014	26/14	A summary report on HMIC Inspection results/recommendations be presented at future JIAC meetings	DCC/HMIC Liaison Officer	Force internal reporting processes are in place to monitor the acceptance and implementation of HMIC recommendations. Any risks identified as a result of recommendations will be recorded in accordance with the risk management strategy.	18 August 2014
48	5 Jun 2014	26/14	JIAC Members be included on the circulation list of the Police and Crime Commissioner's responses to HMIC Reports	CFO	Members will be provided with a link to the PCC's response to HMIC reports. Complete.	12 August 2014
49	5 Jun 2014	27/14	A meeting be convened to discuss the linkages between the Risk Register and the Assurance Map	RPO	A meeting took place on 15 July 2014. Developments around the Assurance Map are being processed. Complete	11 August 2014
50	5 Jun 2014	28/14	The RPO to circulate a copy of the CIPFA Audit Committee Self-Assessment tool.	RPO	Complete	11 August 2014
51	5 Jun 2014	30/14	Consideration be given to producing Force and OPCC risk registers/plans in a similar format	RPO/ RPRO	It is proposed that an additional column to record a target score is inserted into the Force risk register, in line with the register operated by OPCC. This will be put forward for approval at the Risk Management Board on 14 October 2014, with a view to applying the target scores for all new Force risks.	18 August 2014
52	17 Jun 2014	33/14	Amendments to be made to the	CFO/	Complete. On the Committee's	12 August

			Draft Financial Statements of Accounts 2013/14(PCC and CC) as discussed	FCFO	agenda.	2014

Key

DCC - Deputy Chief Constable (Lincolnshire Police)
FCFO - Force Chief Finance Officer (Lincolnshire Police)
FFO - Force Finance Officer (Lincolnshire Police)
RPRO - Risk Policy Review Officer (Lincolnshire Police)
T/CIM -Temporary Continuous Improvement Manager
CFO - Chief Finance Officer (OPCC)
CAO - Corporate Administration Officer (OPCC)
RPO - Research and Performance Officer (OPCC)