

INTERIM INDEPENDENT AUDIT COMMITTEE
28 MARCH 2013

SUBJECT		PCC RISK MANAGEMENT STRATEGY AND RISK REGISTER
REPORT BY	CHIEF EXECUTIVE & CHIEF FINANCE OFFICER	
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SUMMARY AND PURPOSE OF REPORT		
To review the Police and Crime Commissioner’s updated Risk Management Strategy and monitor the latest Risk Register.		
RECOMMENDATION	<i>That the Committee:</i> <div><div>1.</div><div>Consider the effectiveness of the PCC’s Risk Management Strategy</div></div> <div><div>2.</div><div>Review and monitor the PCC’s Risk register.</div></div>	

A. SUPPORTING INFORMATION

1. PCC's Risk Management Strategy

- 1.1 The CIPFA 'Delivering Good Governance in Local Government' guidance note for Police states that: 'risk is the uncertainty that an event or action will adversely affect an organisation's ability to achieve its objectives and to execute successfully its strategies'.
- 1.2 Effective risk management will assist the PCC in achieving his vision and strategic objectives, optimising the quality and efficiency of service delivery, and upholding and enhancing his reputation. As the legal corporate body, the PCC must maintain a sound system of internal control including a system for the management of risk.
- 1.3 The aim of the Risk Management Strategy is to detail how risk management will be embedded into the governance structure and business of the PCC.
- 1.4 The Committee is invited to consider the effectiveness of the PCC's risk management arrangements. The PCC's Risk Management Strategy is attached at **Appendix 1**.

2. PCC's Risk Register

- 2.1 The top strategic risks that would prevent or distract the Commissioner from achieving his goals are recorded in the PCC Risk Register. The risks have been identified based on the PCC's objectives that are stated

in the Police and Crime Plan 2013 – 17. They also link to the OPCC's Operational Delivery Plan which is currently being developed.

- 2.2 Members from the Lincolnshire Police Authority will be familiar with the style and presentation of the register. The register has been developed based on good practice and advice provided by internal and external auditors. As highlighted in the Risk Management Strategy, risks and risk controls are discussed and updated on a regular basis.
- 2.3 The PCC's risk register currently records 11 critical risks (those scored at AMBER 6 and above) and 2 non critical risks (those score AMBER 4 and below).
- 2.4 The Chief Finance Officer and Research and Performance Officer attend the Force's Confidential Risk Management Board and Force Risk Management Board respectively. This ensures that the Force's register and the PCC's register are aligned where appropriate.
- 2.5 The PCC's risk register is attached at **Appendix 2**.

B. FINANCIAL CONSIDERATIONS

There are resource implications related to some of the mitigating controls. These are managed by the Chief Executive and the Chief Finance Officer.

C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS

Any relevant legal and human rights related risks are included in the PCC's risk register.

D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC's risk register.

E. REVIEW ARRANGEMENTS

The Risk Register will be reviewed by the Committee on a 6 monthly basis. The Risk Management Strategy will be reviewed on an annual basis and provide linkages to the Annual Governance Statement.

F. RISK MANAGEMENT

Risk governance is an integral part of the Interim Independent Audit Committee's remit. It is reflected on the Work Plan accordingly.

G. PUBLIC ACCESS TO INFORMATION

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.